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# EFFICIENT MULTIPLICATION IN SEMISIMPLE ALGEBRAS

by

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#### ABSTRACT

Let A be a finite-dimensional, associative, semisimple algebra over a field F. A generalization of the discrete Fourier transform is presented; this transform permits efficient multiplication of elements of A: one multiplies corresponding projections of each element in the simple subalgebras into which A decomposes. It is demonstrated that when F contains at least [A:F] elements, the number of these simple subalgebras equals the number of distinct irreducible factors of the characteristic polynomial of the regular representation of the general element of A. A new explicit calculation of this polynomial is given for an arbitrary algebra of a finite abelian group over a field with a primitive root of unity. Several examples are considered in detail: quotient polynomial rings, abelian group algebras, dihedral group algebras, and generalized quaternion group algebras.

This thesis emphasizes the minimization of nonscalar multiplications. A theorem previously promulgated is proved correctly: if  $\pi(z)$ , a polynomial of degree n, has k distinct irreducible factors in F[z], then computing products in F[z]/( $\pi(z)$ ) requires at least 2n-k nonscalar multiplications.

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I

### 1. INTRODUCTION

Introduced by Cooley and Tukey in 1965 [C2], the fast Fourier transform (FFT) algorithm has influenced many researchers--particularly those in digital signal processing and in the theory of computation. Using the FFT, academicians have discovered efficient algorithms for multiplying polynomials and multiplying large integers; in both cases the concomitant convolutions are computed with a FFT [A1] [B3].

Multiplying polynomials  $\sum_{i=0}^{n-1} a_i z^i$  and  $\sum_{j=0}^{n-1} b_j z^j$  yields an acyclic convolution of the coefficient vectors  $[a_0 \ a_1 \ \dots \ a_{n-1}]$  and  $[b_0 \ b_1 \ \dots \ b_{n-1}]$ :

$$\begin{pmatrix} n-1\\ \sum_{i=0}^{n-1} \mathbf{z}^{i} \end{pmatrix} \begin{pmatrix} n-1\\ \sum_{j=0}^{n-1} \mathbf{z}^{j} \end{pmatrix} = \sum_{k=0}^{2n-2} \mathbf{c}_{k} \mathbf{z}^{k} , \quad \mathbf{c}_{k} = \sum_{i+j=k}^{n-1} \mathbf{a}_{i} \mathbf{b}_{j}.$$

Suppose one multiplies these polynomials modulo  $z^n-1$ . This operation is then a (convolutional) multiplication of elements of the group algebra  $F[Z_n]$ , where F is a field and  $Z_n$  is the cyclic group of order n. We obtain  $(\sum_{i=0}^{n-1} a_i z^i) (\sum_{j=0}^{n-1} b_j z^j) \equiv \sum_{k=0}^{n-1} c_k z^k \mod (z^n-1)$ , where

$$\mathbf{c}_{\mathbf{k}} = \sum_{\mathbf{i}+\mathbf{j} \equiv \mathbf{k} \mod n} \mathbf{a}_{\mathbf{i}}\mathbf{b}_{\mathbf{j}} = \sum_{\mathbf{i}+\mathbf{j}=\mathbf{k}} \mathbf{a}_{\mathbf{i}}\mathbf{b}_{\mathbf{j}} + \sum_{\mathbf{i}+\mathbf{j}=\mathbf{k}+n} \mathbf{a}_{\mathbf{i}}\mathbf{b}_{\mathbf{j}}$$

for k = 0, ..., n-1. In this case the coefficient vector  $[c_0 \ c_1 \ ... \ c_{n-1}]$ is the cyclic convolution of  $[a_0 \ ... \ a_{n-1}]$  and  $[b_0 \ ... \ b_{n-1}]$ . One can use the discrete Fourier transform to calculate a cyclic convolution: if  $\alpha(z)$ ,  $\beta(z)$ , and  $\gamma(z)$  are polynomials of degree n-1, then  $\gamma(z) =$  $\alpha(z)\beta(z) \mod (z^n-1)$  if, and only if,  $\alpha(\omega^k)\beta(\omega^k) = \gamma(\omega^k)$  for every k =  $0,1,\ldots,n-1$ , where  $\omega$  is a primitive nth root of unity. The vector whose

components are  $\alpha(\omega^0)$ ,  $\alpha(\omega^1)$ , ...,  $\alpha(\omega^{n-1})$  is the discrete Fourier transform of the coefficient vector  $[a_0 \cdots a_{n-1}]$  of  $\alpha(z) = a_0 + a_1 z + \cdots a_{n-1} z^{n-1}$ .

More generally, consider multiplication of elements of the group algebra C[G], where G is a finite group. If  $\alpha = \sum_{g \in G} a_g g$  and  $\beta = \sum_{g \in G} b_g g$ , then

$$\alpha\beta = \gamma = \sum_{h \in G} c_h$$
, where  $c_h = \sum_{g \in G} a_g b_{g-1}$  for  $h \in G$ .

These products arise in filtering problems for random finite group homomorphic sequential systems, studied by Willsky [W1]. A probability distribution on the state variable is expressed as an element of the group algebra C[G] in which G is the state space; distributions for input and output variables are treated analogously. Employing the irreducible, inequivalent matrix representations  $\{T^1, \ldots, T^m\}$  of G, Willsky defines for the probability distributions a transform yielding a set of matrices. Let  $\mu_i$  be the dimension of  $T^i$  for  $i = 1, \ldots, m$ . Then the transform pair for  $\alpha = \sum_{q \in G} a_q g$  is

$$C^{i}(\alpha) = \frac{\mu_{i}}{n} \sum_{g \in G} a_{g} T^{i}(g^{-1})^{t} \text{ for } i = 1, ..., m;$$

$$a_{g} = \sum_{i=1}^{m} \sum_{j=1}^{\mu_{i}} \sum_{k=1}^{\mu_{i}} C^{i}(\alpha)_{jk} T^{i}(g)_{jk},$$
(1.1)

where  $C^{i}(\alpha)_{jk}$  is the j,k entry of  $C^{i}(\alpha)$ , and  $T^{i}(g)_{jk}$  is the j,k entry of  $T^{i}(g)$ , and superscript t denotes the transpose of the matrix. To obtain the transform of the convolution of two pobability distributions, one

multiplies corresponding matrices of the transforms of the distributions:  $\alpha\beta = \gamma$  if, and only if,  $C^{i}(\alpha)C^{i}(\beta) = C^{i}(\gamma)$  for i = 1, ..., m.

Fiduccia [F1] noticed that the FFT algorithm computes a discrete Fourier transform by successive division of polynomials. For instance, if the polynomial  $\alpha(z)$  has degree 7 and  $\omega$  is a primitive eighth root of unity, then one evaluates  $\alpha$  at  $\omega^0$ ,  $\omega^1$ , ...,  $\omega^7$  as follows:

$$\begin{aligned} \alpha(z) &= q_0(z) \left[ (z - \omega^0) (z - \omega^4) (z - \omega^2) (z - \omega^6) \right] + \alpha_0(z) \\ &= q_1(z) \left[ (z - \omega^1) (z - \omega^5) (z - \omega^3) (z - \omega^7) \right] + \alpha_1(z) \\ \alpha_0(z) &= q_{00}(z) \left[ (z - \omega^0) (z - \omega^4) \right] + \alpha_{00}(z) \\ &= q_{01}(z) \left[ (z - \omega^2) (z - \omega^6) \right] + \alpha_{01}(z) \\ \alpha_{00}(z) &= q_{000}(z) (z - \omega^0) + \alpha(\omega^0) \\ &= q_{001}(z) (z - \omega^4) + \alpha(\omega^4) \\ \alpha_{01}(z) &= q_{010}(z) (z - \omega^2) + \alpha(\omega^2) \\ &= q_{011}(z) (z - \omega^6) + \alpha(\omega^6) \\ \alpha_1(z) &= q_{10}(z) \left[ (z - \omega^1) (z - \omega^5) \right] + \alpha_{10}(z) \\ &= q_{11}(z) \left[ (z - \omega^3) (z - \omega^7) \right] + \alpha_{11}(z) \\ \alpha_{10}(z) &= q_{100}(z) (z - \omega^5) + \alpha(\omega^5) \\ \alpha_{11}(z) &= q_{110}(z) (z - \omega^5) + \alpha(\omega^3) \\ &= q_{111}(z) (z - \omega^7) + \alpha(\omega^7) . \end{aligned}$$

Each polynomial division can be performed rapidly because every divisor has the form  $z^{i} - \omega^{j}$ ; for example,  $(z - \omega^{1})(z - \omega^{5})(z - \omega^{3})(z - \omega^{7}) = z^{4} - \omega^{4}$ . Write  $\phi(z) \mod \phi_{1}(z)$  for the remainder (residue) when  $\phi$  is divided by  $\phi_{1}$ ; for instance,  $\alpha(\omega^{3}) = \alpha(z) \mod (z - \omega^{3})$ . For any polynomials  $\phi$ ,  $\phi_1$ , and  $\phi_2$ , ( $\phi \mod (\phi_1 \phi_2)$ ) mod  $\phi_1 = \phi \mod \phi_1$ . This fact justifies the FFT.

The inverse FFT interpolates a polynomial by the reverse process-successive polynomial multiplication. For the example above the inverse transform reconstructs  $\alpha(z)$  from the values  $\alpha(\omega^0)$ ,  $\alpha(\omega^1)$ , ...,  $\alpha(\omega^7)$ . The FFT and its inverse each require 0 (n log n) total arithmetic operations for a polynomial of degree n. In fact, Morgenstern [M2] established a 0 (n log n) lower bound on the number of arithmetic operations used by any algorithm computing the discrete Fourier transform with additions and multiplications only by scalars of modulus 1.

Rader [R1] and Winograd [W6] discovered variants of the FFT. Rader's modification computes the discrete Fourier transform when n, the degree of the polynomial, is prime. Related to Rader's idea, Winograd's innovation is particularly fast for small n.

Generalizing the FFT, Moenck and Borodin [M1] [B2] devised algorithms for rapid modular representation of polynomials and Chinese remaindering. Let  $\alpha(z)$  be a polynomial of degree n, and let  $\psi_1(z)$ , ...,  $\psi_n(z)$  be relatively prime polynomials. Suppose we wish to calculate the residues  $\alpha(z) \mod \psi_1(z)$ , ...,  $\alpha(z) \mod \psi_n(z)$ ; if all  $\psi_i$  have the form  $z - u_i$ , then this calculation evaluates  $\alpha$  at  $u_1$ , ...,  $u_n$ . Imitating the FFT, one divides successively by products of  $\{\psi_1, \ldots, \psi_n\}$ : viz.,  $\psi_1\psi_2\cdots\psi_n; \ldots; \psi_1\psi_2\psi_3\psi_4, \ldots, \psi_{n-3}\psi_{n-2}\psi_{n-1}\psi_n; \psi_1\psi_2, \psi_3\psi_4, \ldots, \psi_{n-1}\psi_n;$  $\psi_1, \psi_2, \ldots, \psi_n$ . This Moenck-Borodin algorithm uses  $\theta$  (n (log n)<sup>2</sup>) total arithmetic operations.

**Conversely**, let  $\psi_1(z)$ , ...,  $\psi_n(z)$  be relatively prime polynomials. For any polynomials  $\alpha_1(z)$ , ...,  $\alpha_n(z)$  such that deg  $\alpha_i < \deg \psi_i$  for each i, the Chinese Remainder Theorem asserts the existence of a polynomial  $\alpha(z)$  such that  $\alpha(z) \equiv \alpha_i(z) \pmod{\psi_i(z)}$  for i = 1, ..., n. Define  $\psi_i(z)$  and  $\Phi_i(z)$  for i = 1, ..., n so that

$$\Psi_{\mathbf{i}}(\mathbf{z}) = \begin{pmatrix} n \\ \Pi \\ \mathbf{k} = \mathbf{1} \end{pmatrix} / \Psi_{\mathbf{i}}(\mathbf{z}) \quad \text{and}$$

$$\Phi_{\mathbf{i}}(\mathbf{z}) \Psi_{\mathbf{i}}(\mathbf{z}) \equiv \mathbf{1} \pmod{\psi_{\mathbf{i}}(\mathbf{z})};$$

$$(1.2)$$

one can choose each  $\Phi_i$  so that deg  $\Phi_i < \deg \psi_i$ . Then

$$\alpha(z) = \sum_{i=1}^{n} \Phi_{i}(z) \Psi_{i}(z) \alpha_{i}(z)$$
(1.3)

has the desired property. When all  $\psi_i$  have the form  $z - u_i$  for some scalars  $u_i$ , equations (1.2) and (1.3) are the Lagrange interpolation formula. Suitably reversing the Moenck-Borodin algorithm for calculating modular residues produces a rapid Chinese Remainder Algorithm that uses  $O(n (\log n)^2)$  total arithmetic operations on problems of size n. One may find complete expositions of modular algorithms and the FFT in [A1] and [B3].

\* \* \*

In this thesis we consider efficient computations of products in finite-dimensional, associative algebras, particularly semisimple algebras. All algebras in subsequent chapters are associative and finitedimensional over a field. In Chapter 2 we present a model of computation--the straight-line program--and define the multiplicative complexity of an algebra to be the minimum number of nonscalar rudimentary multiplications necessary for computing products in the algebra. We then give a brief exegesis of recent results on quotient polynomial rings, including a correct proof of a theorem announced by Winograd [W5]: the multiplicative complexity of  $F[z]/(\pi(z))$  is at least 2n-k, where n is the degree of  $\pi(z)$  and k is the number of its distinct irreducible factors.

After summarizing definitions and properties of representations of semisimple algebras, we define the general element  $\xi$  of a semisimple algebra  $\overset{A}{}$  and the characteristic polynomial  $\chi$  of the regular representation of  $\xi$ . We establish a one-one correspondence between distinct, irreducible factors of  $\chi$  and the irreducible, inequivalent representations of  $\overset{A}{}$  when the base field is sufficiently large.

Using the structure of the semisimple algebra A over a field F, we devise a generalization of the discrete Fourier transform: to multiply elements  $\alpha$  and  $\beta$  of A, one multiplies the projections of  $\alpha$  and  $\beta$  in the simple subalgebras of A; each projection is a small matrix with entries in a division algebra over F. This technique uses fewer nonscalar multiplications than others.

Chapter 4 presents examples to illustrate the ideas of Chapter 3. Related to our general methods are known algorithms for quotient polynomial algebras and abelian group algebras. For quotient polynomial algebras and abelian group algebras in which the base field contains a primitive root of unity we calculate the characteristic polynomial of

the regular representation of the general element. Furthermore, we give algorithms for computing products in algebras of dihedral groups and generalized quaternion groups. We consider algebras of these groups not only over the complex numbers C, but also over the reals R and rationals Q.

In Chapter 5 we suggest topics for further study.

### 2. BACKGROUND

## 2A. The Problem

A <u>finite-dimensional</u>, <u>associative algebra</u> A of dimension n over a field F is simultaneously a ring (A,+,•,0,1) (with multiplicative identity 1) and a vector space of finite dimension n over F. An algebra is <u>semisimple</u> if it contains no nilpotent left ideals: for no left ideal J in A and no positive integer m does  $\{u_1u_2\cdots u_m | every u_i \in J\}$  equal (0), the zero ideal. Let  $\{v_1, v_2, \ldots, v_n\}$  be a basis for algebra A over field F. Elements of A have the form  $a_1v_1 + a_2v_2 + \ldots + a_nv_n$ , where the coefficients  $a_1, a_2, \ldots, a_n$  are in F.

Example. The complex numbers  $\mathbb{C}$  form an algebra of dimension 2 over the real numbers  $\mathbb{R}$ . Elements of  $\mathbb{C}$  can be written  $a_1 \cdot 1 + a_2 \cdot \sqrt{-1}$  with  $a_1$ ,  $a_2$  in  $\mathbb{R}$ . Any finite-dimensional extension field is an algebra over the base field.

Example. The noncommutative division ring of real quaternions  $Q_{\mathbb{R}}$  is an algebra of dimension 4 over R with basis 1, î, ĵ, k and multiplication defined by

$$\hat{i}^2 = \hat{j}^2 = \hat{k}^2 = -1, \quad \hat{i}\hat{j} = -\hat{j}\hat{i} = \hat{k},$$
  
 $\hat{j}\hat{k} = -\hat{k}\hat{j} = \hat{i}, \quad \hat{k}\hat{i} = -\hat{i}\hat{k} = \hat{j}.$ 

Each quaternion has the form  $a_0 + a_1\hat{i} + a_2\hat{j} + a_3\hat{k}$ , where  $a_0, a_1, a_2$ , and  $a_3$  are in R. Analogously, one can define quaternions over any field F:  $Q_F = \{a_0 + a_1\hat{i} + a_2\hat{j} + a_3\hat{k} | a_0, a_1, a_2, a_3 \text{ in } F\}$ . If char F = 2, then  $Q_F$ is not semisimple because  $1 + \hat{i}$  generates a nilpotent left ideal. Example. Let  $\pi(z)$  be a polynomial in F[z]. The quotient ring  $F[z]/(\pi(z))$  is an algebra over the field F of dimension deg  $\pi$ , the degree of  $\pi$ . This algebra is semisimple if, and only if, the irreducible factor  $\pi(z)$  in F[z] have multiplicity 1. If an irreducible factor  $\pi_0(z)$  of  $\pi(z)$  has multiplicity greater than 1, then the ideal generated by  $\pi(z)/\pi_0(z)$  is nilpotent.

Example. The algebra F[G] of a finite group G over a field F is the set of all formal sums  $\sum_{g \in G} a_g \cdot g$  with every  $a_g$  in F. Multiplication of elements of F[G] is defined by

$$\left(\sum_{g \in G} a_g g\right) \left(\sum_{g \in G} b_g g\right) = \sum_{h \in G} c_h h, \text{ where } c_h = \sum_{g \in G} a_g b_g - i_h.$$

Suppose G is abelian and the prime p divides the order of G; let e be the identity of G and let  $\hat{g}$  in G have order p; if F has characteristic p, then the ideal in F[G] generated by  $\hat{g}$  - e is nilpotent, and hence F[G] is not semisimple. For any algebra F[G], Maschke's Theorem [C3] asserts that F[G] is semisimple if the characteristic of F does not divide the cardinality of G. Much is known about the representations of semisimple algebras of finite groups over algebraically closed fields.

We seek efficient algorithms for computing products of elements in finite-dimensional, associative algebras, especially semisimple algebras. Our model of computation is the <u>straight-line program</u>. For each algebra A we devise a straight-line program P that computes the product of a pair of elements of A. Let  $\{v_1, \ldots, v_n\}$  be a basis for A. To multiply  $\alpha =$  $a_1v_1^+ \cdots + a_nv_n$  and  $\beta = b_1v_1^+ \cdots + b_nv_n$  yielding  $\gamma = \alpha\beta = c_1v_1^+ \cdots + a_nv_n$   $c_n v_n$ , we input  $\{a_1, \ldots, a_n, b_1, \ldots, b_n\}$  to P, which calculates  $\{c_1, \ldots, c_n\}$ . Think of  $\{a_1, \ldots, a_n, b_1, \ldots, b_n\}$  as a set of indeterminates; the  $c_1, \ldots, c_n$  are bilinear expressions in  $\{a_1, \ldots, a_n\}$  and  $\{b_1, \ldots, b_n\}$ .

Formally, a <u>straight-line program</u> for our problem is a finite sequence of <u>statements</u>  $\theta_1, \theta_2, \dots, \theta_r$  of the form:

(a)  $\theta_k + a_k$  for k = 1, ..., n; (b)  $\theta_k + b_{k-n}$  for k = n+1, ..., 2n;

(c) for k > 2n either

(i)	$\theta_k \leftarrow u\theta_i$	for some scalar $u_{\varepsilon}F$ , some $i < k$ ; or
( <b>i</b> i)	$\theta_{k} \leftarrow \theta_{i} + \theta_{j}$	for some i, j < k; or
(iii)	$\theta_{1} \leftarrow \theta_{2} \bullet \theta_{3}$	for some i, j < k.

Define an <u>evaluation</u> of a statement to be the map  $\mathscr{E}: \{\theta_1, \dots, \theta_r\} \rightarrow F[a_1, \dots, a_n, b_1, \dots, b_n]$  such that

$\mathscr{E}(\theta_k) = a_k$	if $1 \leq k \leq n$ ,
$\mathscr{E}(\theta_{k}) = b_{k-n}$	if $n+1 \leq k \leq 2n$ ,
$\mathscr{E}(\theta_{k}) = u \mathscr{E}(\theta_{i})$	for form (i),
$\mathscr{E}(\theta_{k}) = \mathscr{E}(\theta_{i}) + \mathscr{E}(\theta_{j})$	for form (ii),
$\mathscr{E}(\theta_{\mathbf{k}}) = \mathscr{E}(\theta_{\mathbf{i}}) \mathscr{E}(\theta_{\mathbf{i}})$	for form (iii).

The program P computes  $\gamma = \alpha\beta$  from  $\{a_1, \ldots, a_n, b_1, \ldots, b_n\}$  if for some  $\theta_{k_1}, \ldots, \theta_{k_n}$  of P we have  $c_1 = \mathscr{E}(\theta_{k_1}), \ldots, c_n = \mathscr{E}(\theta_{k_n})$ . Example. Suppose we multiply complex numbers over the field of reals:  $\alpha\beta = (a_1v_1 + a_2v_2)(b_1v_1 + b_2v_2) = (c_1v_1 + c_2v_2)$ , where  $c_1 = a_1b_1 - a_2b_2$  and  $c_2 = a_1b_2 + a_2b_1$ . A straight-line program for the product is:

This program computes  $\alpha\beta$  because  $c_1 = \mathscr{E}(\theta_9)$  and  $c_2 = \mathscr{E}(\theta_{14})$ .

A statement of type (iii) is a <u>nonscalar multiplication</u> if neither  $\mathscr{E}(\theta_{i})$  nor  $\mathscr{E}(\theta_{j})$  is an element of F. (Recall that  $a_{1}, \ldots, a_{n}, b_{1}, \ldots, b_{n}$  are <u>indeterminates</u>.) For instance, the program in the example above contains three nonscalar multiplications. For every algebra A we desire a program for computing products in A having the fewest nonscalar multiplications. The <u>multiplicative complexity</u> of an algebra is the minimum number of nonscalar multiplicative complexity of A over F is at most the square of the dimension n = [A:F]: one could compute a set of  $n^{2}$  products  $\{a_{i}b_{j} \mid 1 \le i \le n, 1 \le j \le n\}$ ; the  $c_{1}, \ldots, c_{n}$  are linear combinations of elements of this set.

Assessing the algebraic complexity of a problem, researchers often count only multiplications because on an electronic computer multiplications generally require more time than additions: the number of multiplications seems to characterize the speed of a program. We ignore scalar multiplications (cf. [F2], [W3]). When the base field is the field of rationals Q, scalar multiplications by fixed rational numbers can be simulated by additions.

Because we compute bilinear forms, we may assume that all nonscalar multiplication steps, when evaluated, are products of linear combinations of the given indeterminates.

<u>Theorem 2.1</u> ([W3], [B3, p. 35]). For every program computing a set of bilinear forms in  $\{a_1, \ldots, a_n\}$  and  $\{b_1, \ldots, b_n\}$  there exists another program computing the same set of bilinear forms with the same number of nonscalar multiplications, each of which, when evaluated by the map  $\mathcal{E}$ , has the form  $L_1 \cdot L_2$ , where  $L_1$  and  $L_2$  are linear combinations of  $\{a_1, \ldots, a_n, b_1, \ldots, b_n\}$ .

In a <u>bilinear program</u> all nonscalar multiplications have the form  $L_1 \cdot L_2$ , where  $L_1$  is a linear combination of  $\{a_1, \ldots, a_n\}$ ,  $L_2$  a linear combination of  $\{b_1, \ldots, b_n\}$ .

<u>Theorem 2.2</u> ([P1]). If the  $\{a_1, \ldots, a_n\}$  do not commute with the  $\{b_1, \ldots, b_n\}$ , then for any program computing bilinear forms in  $\{a_1, \ldots, a_n\}$  and  $\{b_1, \ldots, b_n\}$  there exists a bilinear program computing the same forms having the same number of nonscalar multiplications.

<u>Theorem 2.3</u> ([P1]). If the  $\{a_1, \ldots, a_n\}$  do commute with the  $\{b_1, \ldots, b_n\}$  [the usual case], then for any program P computing bilinear forms in  $\{a_1, \ldots, a_n\}$  and  $\{b_1, \ldots, b_n\}$  there exists a bilinear program

computing the same forms having at most twice the number of nonscalar multiplications in P.

Hopcroft and Kerr [H2] demonstrated that commutativity can reduce the number of nonscalar multiplications. If  $m \ge 5$ , the product of  $m \times 2$  and  $2 \times 2$  matrices over a commutative ring can be computed with fewer nonscalar multiplications than for the product over a noncommutative ring.

2B. Prior Results

Winograd [W3] first established a general framework for obtaining lower bounds on the number of nonscalar multiplications needed to compute functions. His technique and related techniques of Fiduccia are summarized in [A1] and [B3].

Fiduccia and Zalcstein [F2] derived a lower bound on the multipli-

<u>Theorem 2.4</u> ([F3]). Let  $\mathcal{D}$  be a division algebra of dimension n =  $[\mathcal{D}:F]$  over a field F. The multiplicative complexity of  $\mathcal{D}$  is at least 2n-1.

Using the Chinese Remainder Algorithm extensively, they constructed an efficient algorithm for computing products in quotient polynomial rings. Let  $\pi(z)$  be a fixed polynomial of degree n in F[z] having prime factorization  $\pi(z) = \pi_1(z)^{\nu_1}\pi_2(z)^{\nu_2}\cdots\pi_k(z)^{\nu_k}$ ; let  $n_i = \deg \pi_i^{\nu_i}$  for  $i = 1, \dots, k$ . <u>Algorithm 2.5</u>. To compute the coefficients  $\{c_0, \ldots, c_{n-1}\}$  of the product  $\Upsilon(z) = \alpha(z) \beta(z) = c_0 + c_1 z + \ldots + c_{n-1} z^{n-1}$  of  $\alpha(z) = a_0 + a_1 z + \ldots + a_{n-1} z^{n-1}$  and  $\beta(z) = b_0 + b_1 z + \ldots + b_{n-1} z^{n-1}$  from  $\{a_0, a_1, \ldots, a_{n-1}, b_0, \ldots, b_{n-1}\}$  in  $F[z]/(\pi(z))$ .

Step 1. Perform Step 2 through Step 4 for i = 1, ..., k.

Step 2. Compute  $\hat{\alpha}_{i}(z) = \alpha(z) \mod \pi_{i}(z)^{\vee i}$  and  $\hat{\beta}_{i}(z) = \beta(z) \mod \pi_{i}(z)^{\vee i}$ ; the degrees of the polynomials  $\hat{\alpha}_{i}(z)$  and  $\hat{\beta}_{i}(z)$  are at most  $n_{i}^{-1}$ .

<u>Step 3</u>. For any  $2n_i - 1$  distinct elements  $u_1, u_2, \dots, u_{2n_i} - 1$ in F multiply  $\hat{\alpha}_i(u_j)\hat{\beta}_i(u_j)$  for  $j = 1, 2, \dots, 2n_i - 1$ .

Step 4. Using the Lagrange interpolation formula (a special case of the Chinese Remainder Algorithm), recover the polynomial  $\hat{\gamma}_i(z) = [\hat{\alpha}_i(z)\hat{\beta}_i(z) \mod ((z - u_1) \cdots (z - u_{2n_i-1}))] \mod \pi_i(z)^{v_i}$ . The product of  $\hat{\alpha}_i(z)$  and  $\hat{\beta}_i(z)$  has degree at most  $2n_i-2$ ; thus,  $\hat{\alpha}_i(z)\hat{\beta}_i(z) = \hat{\alpha}_i(z)\hat{\beta}_i(z) \mod ((z - u_1) \cdots (z - u_{2n_i-1}))$ .

Step 5. Use the Chinese Remainder Algorithm to obtain  $\gamma(z) = \alpha(z)\beta(z) \mod \pi(z)$  from all the  $\gamma_i(z) = \alpha(z)\beta(z) \mod \pi_i(z)^{\vee_i}$ .

The idea implemented in Steps 3 and 4 also appears in the Toom-Cook algorithm for multiplying large integers [K1]. Only Step 3 involves non-scalar multiplications. There are  $\sum_{i=1}^{k} (2n_i - 1) = 2n - k$  nonscalar multiplications.

<u>Theorem 2.6</u> ([F2]). Let the fixed polynomial  $\pi(z)$  have prime factorization  $\pi_1(z)^{\vee_1}\cdots\pi_k(z)^{\vee_k}$  in F[z], where F is a field. Let  $n = \deg \pi$  and  $n_i = \deg \pi_i^{\vee_i}$  for i = 1, ..., k. If F has at least max  $\{2n_1-1, ..., n_n\}$ 

 $2n_k$ -1} distinct elements, then the multiplicative complexity of  $F[z]/(\pi(z))$  is at most 2n-k.

Furthermore, Fiduccia and Zalcstein devised an algorithm for multiplying elements of commutative semisimple algebras over perfect fields. In Section 4A we shall show that this algorithm is a special case of our general methods.

<u>Theorem 2.7</u> ([F2]). Let A be a commutative semisimple algebra of dimension n over a perfect field F. Suppose A decomposes into a direct sum of k simple algebras:  $A \cong A_1 \oplus \ldots \oplus A_k$ , where each  $A_i$  is simple. Let  $n_{max} = max \{ [A_1:F], \ldots, [A_k:F] \}$ . If F has at least  $2n_{max}$ -1 elements, then the multiplicative complexity of A over F is at most 2n-k.

<u>Proof.</u> Each  $A_i$  is a commutative division algebra over F--i.e., a finite-dimensional extension field of F. Since each  $A_i$  is algebraic over F, which is perfect, there exist irreducible polynomials  $\pi_i$  in F[z] of degrees deg  $\pi_i = [A_i:F]$  such that  $A_i \cong F[z]/(\pi_i(z))$  for i = 1, ..., k.

Winograd [W5] attempted to prove that Algorithm 2.5 is optimal, but his arguments contain a flaw that we now correct. Let  $\{a_1, \ldots, a_n\}$ be a set of n distinct indeterminates. Let F be a field.

<u>Definition</u>. A set of r-vectors  $\{\underline{v}_1, \ldots, \underline{v}_k\}$  with components in  $F[a_1, \ldots, a_n]$  is <u>linearly independent modulo  $F^r$ </u> if whenever  $\sum_{i=1}^k u_i \underline{v}_i \in F^r$ for some  $u_1, \ldots, u_k$  in F we have  $u_1 = \ldots = u_k = 0$ .

Lemma 2.8. Let  $\{\underline{v}_1, \ldots, \underline{v}_k\}$  be a set of r-vectors with components in  $F[a_1, \ldots, a_n]$ . Suppose  $k \ge 2$  and this set has a subset of  $\delta$  vectors linearly independent modulo  $F^r$ . Then for any  $u_2, \ldots, u_k$  in F the set  $\{\underline{v}_i + u_i \underline{v}_l | i = 2, \ldots, k\}$  includes a subset of  $\delta$ -1 vectors linearly independent modulo  $F^r$ .

Proof. [Al, p. 435].

<u>Definition</u>. Let P be a program computing Mx, where matrix M has entires in  $F[a_1, \ldots, a_n]$  and <u>x</u> is a vector with components in  $F[b_1, \ldots, b_n]$ . A nonscalar multiplication in P is <u>active</u> if one of the operands involves an indeterminate  $b_i$  and the other is not an element of F.

The next lemma generalizes Winograd's technique for deriving lower bounds [W3].

Lemma 2.9. Let <u>y</u> be a r-vector with components in  $F[a_1, \ldots, a_n]$ . Let <u>x</u> be a vector of p linearly independent linear combinations of indeterminates  $\{b_1, \ldots, b_n\}$ . If matrix M with entries in  $F[a_1, \ldots, a_n]$  has  $\delta$  columns linearly independent modulo  $F^r$ , and if  $\delta > 1$ , then any program computing Mx + y requires at least  $\delta$  active multiplications.

<u>Proof.</u> Modifying the proof of Theorem 12.2 in [Al], we proceed by induction on  $\delta$ .

<u>Case</u>:  $\delta = 1$ . Some entry  $\varepsilon$  in M is in  $F[a_1, \dots, a_n]$  but not in F. Computing M<u>x</u> + <u>y</u> requires a multiplication  $\varepsilon b_i$  for some j.

<u>Case</u>:  $\delta > 1$ . Let <u>b</u> be the column vector  $[b_1 \dots b_p]^{t}$  and let <u>x</u> = Qb, where Q is a p×p invertible matrix with entries in F. By induction, any program P for M<u>x</u> + <u>y</u> has at least  $\delta - 1 \ge 1$  active multiplications. Let  $\theta \leftarrow \theta' \cdot \theta''$  be the first active multiplication in *P*:

$$\mathscr{E}(\theta') = \phi(a_1, \dots, a_n) + \sum_{i=1}^{p} c_i b_i,$$

where  $\phi$  is a polynomial in  $F[a_1, \dots, a_n]$  and every  $c_i \in F$ . Without loss of generality, take  $c_1 \neq 0$ . Define  $d_1, \dots, d_p$  by  $[d_1 \dots d_p] =$  $[c_1 \dots c_p] \varphi^{-1}$ . Then  $\sum_{i=1}^p c_i b_i = \sum_{i=1}^p d_i x_i$ . Assume  $d_1 \neq 0$  without loss of generality.

From P we can construct a new program P' with one fewer active multiplication. This new program will compute  $M'\underline{x}' + \underline{y}'$ , where the  $r^{(p-1)}$  matrix M' will have  $\delta$ -l columns linearly independent modulo  $F^{r}$ . By the inductive hypothesis, P' will have at least  $\delta$ -l active multiplications; therefore, P has at least  $\delta$  active multiplications.

To obtain P' from P we use a substitution argument [B3, Ch. 2]. Replace

$$b_{1} b_{1} b_{1} - c_{1}^{-1} [\phi(a_{1}, \dots, a_{n}) + \sum_{i=2}^{p} c_{i} b_{i}],$$

$$x_{1} b_{1} - d_{1}^{-1} [\phi(a_{1}, \dots, a_{n}) + \sum_{i=2}^{p} d_{i} x_{i}];$$
(2.1)

these substitutions force  $\mathscr{E}(\theta')$  to be zero. Compared with P, the program P' has one fewer active multiplication.

We now define M',  $\underline{x}'$ , and  $\underline{y}'$ . Let the ith column of M be  $\underline{m}_{\underline{i}}$  and set the (i-1)th column of M' to be

$$\underline{\mathbf{m}}_{i-1}^{\prime} = \underline{\mathbf{m}}_{i} - \frac{d_{i}}{d_{1}\underline{\mathbf{m}}_{1}} \qquad \text{for } i = 2, \dots, p.$$

By Lemma 2.8, M' has at least  $\delta$ -1 linearly independent columns (modulo  $F^{r}$ ). Let  $\underline{q}_{i}$  be the ith column of Q. With the substitution (2.1) we have



where

$$\widetilde{Q} = [\underline{q}_2 - \frac{c_2}{c_1}\underline{q}_1] \cdots [\underline{q}_p - \frac{c_p}{c_1}\underline{q}_1].$$

The matrix  $\tilde{Q}$  has p-1 linearly independent columns, and hence its row rank is p-1. A simple calculation reveals that the first row of  $\tilde{Q}$  is a linear combination of the others:

$$q_{1j} - \frac{c_j}{c_1}q_{11} = -\sum_{i=2}^{p} \frac{d_i}{d_1}(q_{ij} - \frac{c_j}{c_1}q_{i1})$$
 for  $j = 2, ..., p$ .

Delete the first row of  $\tilde{Q}$  to form a (p-1)×(p-1) matrix Q'. Since  $\tilde{Q}$  has row rank p-1, Q' is nonsingular. Define <u>x'</u> and <u>y'</u> by

$$\underline{\mathbf{x}}' = \begin{bmatrix} \mathbf{x}_{1}' \\ \vdots \\ \mathbf{x}_{p-1}' \end{bmatrix} = \mathcal{Q}' \begin{bmatrix} \mathbf{b}_{2} \\ \vdots \\ \mathbf{b}_{p} \end{bmatrix},$$

$$\underline{\mathbf{y}}' = \underline{\mathbf{y}} + \mathbf{M} \begin{bmatrix} -\mathbf{d}_{1}^{-1}\phi(\mathbf{a}_{1}, \dots, \mathbf{a}_{n}) \\ \mathbf{0} \\ \vdots \\ \mathbf{0} \end{bmatrix} + \mathbf{M}' \begin{bmatrix} -\mathbf{q}_{21}\mathbf{c}_{1}^{-1}\phi(\mathbf{a}_{1}, \dots, \mathbf{a}_{n}) \\ -\mathbf{q}_{31}\mathbf{c}_{1}^{-1}\phi(\mathbf{a}_{1}, \dots, \mathbf{a}_{n}) \\ \vdots \\ -\mathbf{q}_{p1}\mathbf{c}_{1}^{-1}\phi(\mathbf{a}_{1}, \dots, \mathbf{a}_{n}) \end{bmatrix}$$

Then program P' computes  $M'\underline{x}' + \underline{y}'$ , where the components of  $\underline{x}'$  are linearly independent linear combinations of p-1 indeterminates  $\{b_2, \dots, b_p\}$ . The program P' computes  $M'\underline{x}' + \underline{y}'$ , where M' has  $\delta$ -1 columns linearly independent modulo  $F^r$ . Since P' has at least  $\delta$ -1 nonscalar multiplications, P has at least  $\delta$ .

<u>Theorem 2.10</u> ([W5]). Let F be a field, and let the fixed monic polynomial  $\pi(z)$  of degree n have prime factorization  $\pi_1(z)^{v_1\cdots\pi_k}(z)^{v_k}$ in F[z]. The multiplicative complexity of F[z]/( $\pi(z)$ ) is at least 2n-k.

<u>Proof.</u> Part 1. Let  $\alpha(z) = a_0 + a_1 z + \ldots + a_{n-1} z^{n-1}$  and  $\beta(z) = b_0 + b_1 z + \ldots + b_{n-1} z^{n-1}$ , where  $a_0, \ldots, a_{n-1}, b_0, \ldots, b_{n-1}$  are distinct indeterminates. Let P be the n×n companion matrix for  $\pi(z) = p_0 + p_1 z + \ldots + p_{n-1} z^{n-1} + z^n$ :



Let  $\underline{\mathbf{x}} = [\mathbf{b}_0 \ \mathbf{b}_1 \ \cdots \ \mathbf{b}_{n-1}]^{\mathcal{I}}, \ \underline{\alpha} = [\mathbf{a}_0 \ \mathbf{a}_1 \ \cdots \ \mathbf{a}_{n-1}]^{\mathcal{I}}, \text{ and } \mathbf{M} = \mathbf{a}_0 \ \mathbf{n}_1 + \mathbf{a}_1 \mathbf{P} + \cdots + \mathbf{a}_{n-1} \mathbf{P}^{n-1} = [\underline{\alpha} \ | \ \mathbf{P}\underline{\alpha} \ | \ \cdots \ | \ \mathbf{P}^{n-1}\underline{\alpha}].$  For  $\mathbf{i} = 1, \dots, k$  let  $\mathbf{n}_{\mathbf{i}} = deg \ \pi_{\mathbf{i}}(\mathbf{z})^{\mathbf{V}_{\mathbf{i}}}, \text{ and let } \alpha_{\mathbf{i}0} + \alpha_{\mathbf{i}1}\mathbf{z} + \cdots + \alpha_{\mathbf{i},n_{\mathbf{i}}-1}\mathbf{z}^{\mathbf{n}\mathbf{i}^{-1}} = \mathfrak{E}(\mathbf{z}) \mod \pi_{\mathbf{i}}(\mathbf{z})^{\mathbf{V}_{\mathbf{i}}}$ and  $\beta_{\mathbf{i}0} + \beta_{\mathbf{i}1}\mathbf{z} + \cdots + \beta_{\mathbf{i},n_{\mathbf{i}}-1}\mathbf{z}^{\mathbf{n}\mathbf{i}^{-1}} = \beta(\mathbf{z}) \mod \pi_{\mathbf{i}}(\mathbf{z})^{\mathbf{V}_{\mathbf{i}}}; \quad \text{let } \underline{\mathbf{x}}^{\mathbf{i}} = [\beta_{\mathbf{i}0} \ \beta_{\mathbf{i}1} \ \cdots \ \beta_{\mathbf{i},n_{\mathbf{i}}-1}]^{\mathcal{I}} \text{ and } \underline{\alpha}^{\mathbf{i}} = [\alpha_{\mathbf{i}0} \ \alpha_{\mathbf{i}1} \ \cdots \ \alpha_{\mathbf{i},n_{\mathbf{i}}-1}]^{\mathcal{I}}.$  The components of each  $\underline{\mathbf{x}}^{\mathbf{i}}$  and  $\underline{\alpha}^{\mathbf{i}}$  are linear combinations of  $\{\mathbf{b}_0, \ \cdots, \ \mathbf{b}_{n-1}\}$  and  $\{\mathbf{a}_0, \ \cdots, \ \mathbf{a}_{n-1}\}$ . Let  $\mathbf{P}_{\mathbf{i}}$  be the  $\mathbf{n}_{\mathbf{i}} \times \mathbf{n}_{\mathbf{i}}$  companion matrix for  $\pi_{\mathbf{i}}^{\mathbf{V}\mathbf{i}}$  and  $\mathbf{M}_{\mathbf{i}} = \alpha_{\mathbf{i}0}\mathbf{I}_{\mathbf{n}\mathbf{i}} + \alpha_{\mathbf{i}1}\mathbf{P}_{\mathbf{i}} + \cdots + \alpha_{\mathbf{i},n_{\mathbf{i}}-1}\mathbf{P}_{\mathbf{i}}^{\mathbf{n}\mathbf{i}-1} = [\underline{\alpha}^{\mathbf{i}} \mid \mathbf{P}_{\mathbf{i}}\underline{\alpha}^{\mathbf{i}} \mid \cdots \mid \mathbf{P}_{\mathbf{i}}^{\mathbf{n}\mathbf{i}-1}\underline{\alpha}^{\mathbf{i}}]$  for each  $\mathbf{i} = 1, \dots, k$ . Computing the coefficients of  $\alpha(z)\beta(z) \mod \pi(z)$  is equivalent to multiplying Mx; computing  $\alpha(z)\beta(z) \mod \pi_i(z)^{\vee_i}$  is equivalent to multiplying M<sub>i</sub> $\stackrel{i}{=}$ . Since  $F[z]/(\pi(z)) \cong F[z]/(\pi_1(z)^{\vee_1})) \oplus \ldots \oplus F[z]/(\pi_k(z)^{\vee_k}))$ , changing the basis of Mx yields

$$\widetilde{\mathbf{M}} \widetilde{\underline{\mathbf{X}}} = \begin{bmatrix} \mathbf{M}_{1} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{M}_{2} & \\ & & \ddots & \\ \mathbf{0} & & & \mathbf{M}_{k} \end{bmatrix} \begin{bmatrix} \mathbf{x}^{1} \\ \mathbf{x}^{2} \\ \vdots \\ \mathbf{x}^{k} \\ \mathbf{x}^{k} \end{bmatrix} .$$

The Chinese Remainder Theorem guarantees that computing  $\underline{Mx}$  and computing  $\underline{\widetilde{Mx}}$  require the same number of nonscalar multiplications: an answer to one matrix product yields, with some additions and scalar multiplications, a solution to the other product. We shall prove that any program computing  $\underline{\widetilde{Mx}}$  must have at least 2n-k nonscalar multiplications.

<u>Part 2</u>. We demonstrate that all components of vectors  $\underline{\alpha}^i$  are linearly independent linear combinations of  $\{a_0, \ldots, a_{n-1}\}$ ; this result will imply that all components of the  $\underline{x}^i$  are linearly independent linear combinations of  $\{b_0, \ldots, b_{n-1}\}$ .

The vector space V of matrices M generated from polynomials  $\alpha(z)$  in Part 1 is spanned by  $\{I_n, P, \ldots, P^{n-1}\}$ , which is a basis for this space; this subspace V of  $F^{n \times n}$  has dimension n. Changing the basis, i.e., mapping matrices M into matrices  $\tilde{M}$ , is an invertible transformation from V to  $\tilde{V}$ , a vector space of matrices  $\tilde{M}$ ; since V has dimension n over F, the space  $\tilde{V}$  also has dimension n.

The entries of each matrix M<sub>i</sub> are linear combinations of  $\{\alpha_{i0}, \ldots, \alpha_{i,n_i-1}\}$ . Let R be the set of pairs (i,j) such that  $\{\alpha_{ij} | (i,j) \notin R\}$ 

is the set of all  $\alpha_{ij}$  that can be obtained from linear combinations of  $\{\alpha_{ij} \mid (i,j) \in R\}$ . Suppose, contrary to what we want to prove, R has fewer than n pairs. Then we can obtain all matrices  $\tilde{M}$  by linear combinations of

$$\left\{ \begin{bmatrix} 0 & & & & 0 \\ & & & & 0 \\ & & P_{i}^{j} & & \\ & & & 0 \\ 0 & & & & -0 \end{bmatrix} \right| (i,j) \in \mathbb{R} \left\}.$$

But then  $\tilde{v}$  is spanned by fewer than n matrices, and  $\tilde{v}$  has dimension less than n. Having obtained a contradiction, we conclude that all the  $\alpha_{ij}$  are linearly independent.

<u>Part 3</u>. We revise the proof of Theorem 4 in [W5] to show that computing  $\widetilde{Mx}$  requires at least 2n-k nonscalar multiplications.

For i = 1, ..., k, define  $\widehat{W}_i$  to be the one dimensional subspace of  $F^{n_i}$  spanned by the row vector  $[1 \ 0 \ 0 \ ... \ 0]$ . One may verify that if  $\underline{w} \in \widehat{W}_i$ , then for any one-variable polynomial  $\phi$  of degree deg  $\phi < n_i$ ,  $\underline{w}\phi(P_i) = 0$  only if  $\phi = 0$ . Let  $\overline{W}_i$  be the subspace such that  $F^{n_i} = \widehat{W}_i \oplus \overline{W}_i$ . This subspace  $\overline{W}_i$  includes  $\{\underline{w} \in F^{n_i}|$  there exists some nonzero polynomial  $\phi$  of one variable with deg  $\phi < n_i$  such that  $\underline{w}\phi(P_i) = 0$ .

Let t be the minimum number of nonscalar multiplications required for computing  $\alpha(z)\beta(z) \mod \pi(z)$ . By Theorem 2.1, we may assume  $\widetilde{Mx} = K\zeta$ , where K is a n×t matrix with entries in F, and the components of  $\zeta$  are the results of nonscalar multiplications involving the indeterminates  $\{a_0, \ldots, a_{n-1}, b_0, \ldots, b_{n-1}\}$ . Because the first column of  $\widetilde{M}$  contains only zeroes and the linearly independent expressions  $\alpha_{10}$ ,  $\alpha_{11}$ , ...,  $\alpha_{1,n_1-1}$ , for no row vector  $\underline{v}$  in  $\underline{F}^n$  does  $\underline{v}\widetilde{M}$  equal zero. Therefore, K has rank n; assume that the first n columns of K are linearly independent. Let Q be the n×n nonsingular matrix such that  $QK = [I_n \ K']$ , where  $\underline{I}_n$  is the n×n identity matrix and K' is a n×(t-n) matrix.

In order to apply Lemma 2.9, we shall find a row vector  $\underline{c}$  in  $F^n$ with at most k nonzero components such that the n columns of the 1×n matrix  $\underline{c}Q\widetilde{M}$  are linearly independent. It will follow that computing  $\underline{c}Q\widetilde{M}\widetilde{\underline{x}} = \underline{c}[\underline{I}_n \ K']\underline{\zeta}$  requires at least n nonscalar multiplications; because  $\underline{c}$  has at most k nonzero components,  $\underline{c}[\underline{I}_n \ K']\underline{\zeta}$  can be computed with only k + (t-n) nonscalar multiplications. Thus, we shall show that k + t - n ≥ n.

To find this vector  $\underline{c}$ , we seek some linear combination  $\underline{cQ} = \underline{d}$  of k rows of Q such that if  $\underline{d}$  is partitioned  $[\underline{d}_1 \ \underline{d}_2 \ \ldots \ \underline{d}_k]$ , where each each  $\underline{d}_i$  has  $n_i$  components, then  $\underline{d}_i \neq \overline{w}_i$ . First, partition Q =  $[Q^1 \ \ldots \ \underline{Q}^k]$ , where each  $Q^i$  comprises  $n_i$  columns of Q. For each i write  $Q^i = \underline{Q}^i + \overline{Q}^i$  such that every row of  $\underline{Q}^i$  is in  $\underline{w}_i$ , and every row of  $\overline{Q}^i$  is in  $\overline{w}_i$ . Let  $\underline{Q} = [\underline{Q}^1 \ \ldots \ \underline{Q}^k]$  and  $\overline{Q} = [\overline{Q}^1 \ \underline{U} \ \ldots \ \underline{V} \ \underline{Q}^k]$ . Because  $Q = \underline{Q} + \overline{Q}$  is nonsingular, the map  $\underline{v} \mapsto \underline{vQ}$  is surjective onto  $F^n$ . Thus, the range of  $\underline{Q}$  is all of  $\underline{w}_1 \oplus \ldots \oplus \underline{w}_k$ ; that is, for every  $\underline{w}$  in  $\underline{w}_1 \oplus \ldots \oplus$  $\underline{w}_k$  there exists  $\underline{v}$  in  $F^n$  such that  $\underline{w} = \underline{vQ}$ . The matrix  $\underline{Q}$  has k linearly independent rows  $\{\underline{q}_{j_1}, \ldots, \underline{q}_{j_k}\}$  because  $\underline{w}_1 \oplus \ldots \oplus \underline{w}_k$  has dimension k. Let  $\underline{y} = [\underline{y}_1 \ \ldots \ \underline{y}_k]$  be any row vector in  $F^n$  such that  $\underline{y}_i \in \underline{w}_i$  and  $\underline{y}_i \neq 0$  for  $i = 1, \ldots, k$ . Some linear combination of  $\{\underline{q}_{j_1}, \ldots, \underline{q}_{j_k}\}$ equals  $\underline{y}$ . There exists a vector  $\underline{c}$  in  $F^n$  having at most k nonzero components (viz., at positions  $j_1, \ldots, j_k$ ) such that  $\underline{cQ} = \underline{y}$ . Let  $\underline{d} = [\underline{d}_1 \mid \ldots \mid \underline{d}_k] = \underline{cQ}$ . Since each  $\underline{y}_i \neq 0$ , each  $\underline{d}_i \notin \overline{W}_i$ .

Finally, we demonstrate that the n columns of  $\underline{c}Q\tilde{M} = \underline{d}\tilde{M}$  are linearly independent **mod**ulo  $F^{1} = F$ . For any  $u_{10}, u_{11}, \ldots, u_{1,n_{1}-1}, u_{20}, \ldots, u_{k,n_{k}-1}$  in F, if

 $\sum_{i=1}^{k} \frac{a_i^{-1}}{\sum_{j=0}^{n_i^{-1}}} u_{ij}^{p_j^{j}} \underline{a}^{i}$ 

is in F, then it must be zero; this result implies that

$$\underline{d}_{i} \sum_{j=0}^{n_{i}-1} u_{ij} p_{i}^{j} = 0 \qquad \text{for } i = 1, \dots, k$$

because all components of all  $\underline{\alpha}^{i}$  are linearly independent. Since every  $\underline{d}_{i} \notin \overline{W}_{i}$ , every  $u_{ij} = 0$ .

Ergo, by Lemma 2.9, computing  $\underline{CQM\tilde{x}}$  requires at least n nonscalar multiplications. Hence,  $k + t - n \ge n$ , and  $t \ge 2n - k$ .

In Winograd's proof [W5], the rows  $\hat{q}_j$ , ...,  $\hat{q}_j_k$  are chosen according to a different criterion, essentially that the part of  $\hat{q}_{jl}$  in  $\hat{Q}^l$  be nonzero; some of the  $j_l$  may be the same. In this case the desired <u>c</u> vector might not exist. For instance, suppose  $F = F_2$ , k = 3, n = 6,  $n_1 = n_2 = n_3 = 2$ ,

$$Q = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \quad \hat{Q} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix},$$

 $j_1 = j_3 = 1$ , and  $j_2 = 2$ ; note that Q is nonsingular. Then  $[1 \ 1 \ 0 \ 0 \ 0]\hat{Q} = [0 \ 0 \ 1 \ 0 \ 1 \ 0]$ ,  $[1 \ 0 \ 0 \ 0 \ 0]\hat{Q} = [1 \ 0 \ 1 \ 0]$ , and  $[0 \ 1 \ 0 \ 0 \ 0]\hat{Q} = [1 \ 0 \ 1 \ 0]$ ,  $[1 \ 0 \ 0 \ 0]\hat{Q} = [1 \ 0 \ 1 \ 0]$ , none of which yields the vector  $\underline{y} = [1 \ 0 \ 1 \ 0 \ 1 \ 0]$ .

#### 3. REPRESENTATIONS AND ALGORITHMS

## 3A. Preliminaries

In this section we collect definitions and theorems from standard textbooks [C3] and [H1], assuming that the reader is familiar with algebra at the level of [J1]. As usual, all algebras are associative and finite-dimensional over a field.

<u>Definition</u>. A ring satisfies the <u>minimum condition</u> if it has no infinite descending chain of ideals.

Every finite-dimensional, associative algebra satisfies the minimum condition.

<u>Definition</u>. A ring is <u>simple</u> if it has no nontrivial two-sided ideals.

<u>Theorem 3.1</u> (Wedderburn). Every simple ring with minimum condition is isomorphic to a full matrix ring over a division ring.

Definition. A ring is <u>semisimple</u> if it includes no nilpotent left ideals.

<u>Theorem 3.2</u>. Every semisimple ring with minimum condition is isomorphic to a direct sum of a finite number of simple subrings with minimum condition. <u>Theorem 3.3</u> (Maschke). The algebra F[G] of a finite group G over a field F is semisimple if the characteristic of F does not divide the order of G: i.e., if char F  $\not$  card G.

Definition. A representation of an algebra  $\underline{A}$  over a field F is an algebra homomorphism from  $\underline{A}$  into the endomorphisms (set of linear transformations)  $\operatorname{End}_{F}(V)$  of a vector space V over F; the space V is the representation space. The dimension of a representation is the dimension of its representation space. If the representation space is A, then the representation is regular.

Definition. A matrix representation of dimension r of an algebra A over a field F is an algebra homomorphism from A into a subalgebra of  $F^{r \times r}$ , the ring of  $r \times r$  matrices over F. The <u>left regular matrix representation</u>  $\rho_L$  with respect to a basis  $\{v_1, \ldots, v_n\}$  of A is an algebra homomorphism from A into  $F^{n \times n}$ , where n = [A:F]; for any  $\alpha$  in A,

$$\alpha \mathbf{v}_{j} = \rho_{\mathbf{L}}(\alpha)_{1j} \mathbf{v}_{1} + \dots + \rho_{\mathbf{L}}(\alpha)_{nj} \mathbf{v}_{n}, \quad j = 1, \dots, n,$$

defines the i, j entry of  $\rho_L(\alpha)_{ij}$  of  $\rho_L(\alpha)$ .

A matrix representation is a coordinatized version of a representation. Any representation T is defined by the values  $T(v_i)$  for  $i = 1, \ldots, n$  if  $\{v_1, \ldots, v_n\}$  is a basis for the algebra. We shall use the word <u>representation</u> for both the homomorphism and its values. Definition. A matrix representation of dimension r of a group G over a field F is a group homomorphism from G into the group of invertible matrices in  $F^{r \times r}$ .

Any matrix representation of a group G over a field F extends naturally to a matrix representation of the group algebra F[G] and, furthermore, to the algebra E[G] over any field E that includes F.

Example. With respect to the basis  $\{1, \hat{1}, \hat{j}, \hat{k}\}$  the left regular matrix representation of the real quaternions  $Q_{\rm IR}$  is

$$\rho_{L}: a_{0} + a_{1}\hat{i} + a_{2}\hat{j} + a_{3}\hat{k} \leftrightarrow \begin{bmatrix} a_{0} & -a_{1} & -a_{2} & -a_{3} \\ a_{1} & a_{0} & -a_{3} & a_{2} \\ a_{2} & a_{3} & a_{0} & -a_{1} \\ a_{3} & -a_{2} & a_{1} & a_{0} \end{bmatrix}.$$

Example. One can define the regular matrix representation of the polynomial algebra  $F[z]/(\pi(z))$  in terms of the companion matrix P for  $\pi(z) = p_0 + p_1 z + \ldots + p_{n-1} z^{n-1} + z^n$ :

	o	0	-p <sub>0</sub>	
P =	1		-p <sub>1</sub> : -p <sub>n-2</sub>	•

The left regular representation with respect to the basis {1, z, ...,  $z^{n-1}$ } is

 $\rho_{\rm L}: a_0 + a_1 z + \ldots + a_{n-1} z^{n-1} \Rightarrow a_0 I_n + a_1 P + \ldots + a_{n-1} P^{n-1},$ 

where  $I_n$  is the n×n identity matrix.

Example. Let  $\{g_1, \ldots, g_n\}$  be an ordering of a finite group G. The i,j entry of the left regular matrix representation of the element  $\alpha = \sum_{i=1}^{n} a_i g_i$  of F[G] is  $\rho_L(\alpha)_{ij} = a_k$  if, and only if,  $g_i = g_k g_j$ .

<u>Definition</u>. Two matrix representations T and U of A of dimension r are <u>equivalent</u> if there exists a fixed invertible matrix S in  $F^{r \times r}$  such that  $T(\alpha)S = SU(\alpha)$  for all  $\alpha$  in A.

<u>Definition</u>. A representation T of an algebra A with nonzero representation space V is <u>reducible</u> if there exists a nontrivial proper invariant subspace W of V satisfying

$$T(\alpha) w \in W$$
 for all  $\alpha \in A$ , all  $w \in W$ ;

otherwise, the representation is <u>irreducible</u>. The representation is <u>completely reducible</u> if for every such invariant subspace W of V there exists an invariant subspace  $\overline{W}$  such that  $V \cong W \oplus \overline{W}$ .

Theorem 3.4. A finite-dimensional, associative algebra is semisimple if, and only if, every reducible representation is completely reducible.

For any algebra A and representation T of A with representation space V one can make V into a (left) A-module by defining scalar multiplication by

 $\alpha v = T(\alpha)v$  for all  $\alpha$  in A, all v in V.

Call this A-module irreducible if T is irreducible.

<u>Theorem 3.5.</u> If A is a semisimple algebra, then every irreducible A-module is isomorphic to some minimal left ideal of A (i.e., a left ideal that properly contains no nontrivial left ideals).

<u>Theorem 3.6</u> (Schur's Lemma). If A is a finite-dimensional, associative, semisimple algebra, then the endomorphisms of any irreducible left A-module form a division algebra; if the base field F is algebraic-ally closed and X is an irreducible left A-module, then  $\operatorname{End}_A(X) \cong F$ . The simple subalgebra of A including a minimal left ideal J of A is isomorphic to a matrix ring over this division algebra defined by J.

Definition. A field F is a <u>splitting field</u> for the finite group G if every irreducible representation of G over F extends to an irreducible representation of E[G] over E for every extension field E of F.

The splitting field of a group is related to the splitting field of a polynomial. A field F is a splitting field for the cyclic group  $Z_n$  of order n if, and only if, it is a splitting field for the polynomial  $z^n - 1$  because  $F[Z_n] = F[z]/(z^n - 1)$ .

<u>Corollary</u>. If G is a finite group, then any algebraically closed field is a splitting field for G.

## 3B. The General Element and its Characteristic Polynomial

From the theorems in the last section one can deduce that a (finitedimensional, associative) semisimple algebra decomposes into a direct sum of full matrix rings over certain division algebras. We define the general element  $\xi$  of an algebra and study the characteristic polynomial of the regular representation of  $\xi$ . The factorization of this polynomial yields information on the sizes of these matrix rings and division algebras.

<u>Definition</u>. For a semisimple algebra A over a field F the <u>general</u> <u>element</u> of A is  $\xi = x_1v_1 + x_2v_2 + \dots + x_nv_n$ , where  $\{v_1, \dots, v_n\}$  is a basis for A and  $x_1, \dots, x_n$  are distinct indeterminates over F.

The left regular matrix representation of the general element  $\xi$  is

$$\rho_{L}(\xi) = x_{1}\rho_{L}(v_{1}) + \dots + x_{n}\rho_{L}(v_{n}),$$

which has entries in  $F[x_1, ..., x_n]$ . Let  $\chi(\lambda)$  denote the characteristic polynomial of  $\rho_L(\xi)$ : i.e.,  $\chi(\lambda) = \det (\lambda I_n - \rho_L(\xi))$ , where  $I_n$  is the  $n^{\times}n$  identity matrix.

We first establish a lemma crucial to the proof of our main result. If  $\psi(x_1, \ldots, x_n)$  is a polynomial in  $F[x_1, \ldots, x_n]$ , then write  $\psi(u_1, \ldots, u_n)$ for the value in F resulting from the substitution of  $u_i$  for  $x_i$ ,  $i = 1, \ldots, n$ , in  $\psi$ . Recall that the degree of a multivariate polynomial is the maximum of the degrees of its terms:

$$\deg \psi(\mathbf{x}_1, \dots, \mathbf{x}_n) = \max \{ v_1 + \dots + v_n | \mathbf{x}_1^{v_1} \cdots \mathbf{x}_n^{v_n} \\ \text{appears in } \psi \}.$$

For instance, deg  $\chi(\lambda) = n$ .

Lemma 3.7. Let F be a field of cardinality  $f \ge n$  (f may be infinite). Let  $x_1$ , ...,  $x_n$  be indeterminates and let  $R = F[x_1, ..., x_n]$ . Let  $\phi_1(\lambda, x_1, ..., x_n)$  and  $\phi_2(\lambda, x_1, ..., x_n)$  be monic polynomials in  $R[\lambda]$  of degree less than n in  $F[\lambda, x_1, ..., x_n]$ . If for all  $u_1$ , ...,  $u_n$  in F the irreducible factors of  $\phi_1(\lambda, u_1, ..., u_n)$  divide  $\phi_2(\lambda, u_1, ..., u_n)$  in  $F[\lambda]$ , then all irreducible factors of  $\phi_1(\lambda, x_1, ..., x_n)$  divide  $\phi_2(\lambda, x_1, ..., x_n)$  in  $R[\lambda]$ .

<u>Proof.</u> It suffices to demonstrate that if  $\phi_1(\lambda, u_1, \dots, u_n) | \phi_2(\lambda, u_1, \dots, u_n)$  in  $F[\lambda]$  for all elements  $u_1, \dots, u_n$  in F, then  $\phi_1 | \phi_2$  in  $R[\lambda]$ .

Since  $\phi_1$  and  $\phi_2$  are monic in R[ $\lambda$ ], one can use the division algorithm for polynomials to write

$$\phi_2(\lambda, \mathbf{x}_1, \dots, \mathbf{x}_n) = \phi_1(\lambda, \mathbf{x}_1, \dots, \mathbf{x}_n) q(\lambda, \mathbf{x}_1, \dots, \mathbf{x}_n) + r(\lambda, \mathbf{x}_1, \dots, \mathbf{x}_n).$$

Let  $r(\lambda, x_1, ..., x_n) = \psi_d(x_1, ..., x_n)\lambda^d + ... + \psi_0(x_1, ..., x_n)$  and  $\delta = \deg r$ ; since  $\deg \phi_2 < n, \delta < n$ . By hypothesis,  $\psi_j(u_1, ..., u_n) = 0$  for each j and every selection of  $u_1, ..., u_n$  from F.

<u>Case</u>: F infinite. This property of each  $\psi_j$  implies that  $\psi_j = 0$ for all j. Therefore, r = 0 and  $|\phi_1| |\phi_2|$  in R[ $\lambda$ ]. <u>Case</u>: F finite. Suppose  $\psi_j \neq 0$ . If for some  $u_2$ , ...,  $u_n$  in F we have  $\psi_j(x_1, u_2, ..., u_n) \neq 0$ , then the polynomial

$$\prod_{a \in F} (x_1 - u) = x_1^f - x_1$$

divides  $\psi_j(x_1, u_2, \dots, u_n)$  in  $F[x_1]$  because  $\psi_j(u, u_2, \dots, u_n) = 0$  for every u in F; but since the highest power of  $x_1$  in  $\psi_j$  is at most  $\delta$  and  $f \ge n > \delta$ , we have a contradiction. Therefore, for all selections of  $u_2, \dots, u_n$  in F we have  $\psi_j(x_1, u_2, \dots, u_n) = 0$ . Now consider  $\psi_j$  as a polynomial in  $x_1$ :  $\psi_j = \sum_k \psi_{jk}(x_2, \dots, x_n) x_1^k$ , where each  $\psi_{jk} \in$  $F[x_2, \dots, x_n]$ . We know that  $\psi_{jk}(u_2, \dots, u_n) = 0$  for every selection of  $u_2, \dots, u_n$  in F, and deg  $\psi_{jk} \le \delta \le f$  for every j,k. By induction every  $\psi_{jk} = 0$ , and hence  $\psi_j = 0$ . Since  $\psi_j = 0$  for every j, r = 0, and  $\phi_1 | \phi_2$ .

The hypothesis on the cardinality of the field F cannot be weakened. For example, let  $\phi_1(\lambda, x_1, x_2, x_3, x_4) = \lambda + (x_1 + x_2 + x_3 + x_4)$ ,  $\phi_2(\lambda, x_1, x_2, x_3, x_4) = \lambda^2(\lambda + (x_1 + x_2 + x_3 + x_4)) + x_1^2x_2 + x_2^2x_1 + x_3^2x_4 + x_4^2x_3$ , and  $F = F_2$ , the field of two elements. Then  $\phi_1(\lambda, u_1, u_2, u_3, u_4) | \phi_2(\lambda, u_1, u_2, u_3, u_4)$  for every selection  $u_1, u_2, u_3, u_4$ from F, but  $\phi_1(\lambda, x_1, x_2, x_3, x_4) \nmid \phi_2(\lambda, x_1, x_2, x_3, x_4)$  in  $F[\lambda, x_1, x_2, x_3, x_4]$ . Thus, the ideas expressed by Lemma 3.7 seem inadequate for establishing our main result for arbitrary fields.

Because  $F[\lambda, x, \dots, x]$  is a unique factorization domain,  $\chi(\lambda)$  has a unique prime factorization into powers of irreducible factors.
<u>Theorem 3.8</u>. Let  $\chi(\lambda)$  be the characteristic polynomial of the regular representation of the general element  $\xi$  of a semisimple algebra A of dimension n over a field F of cardinality card  $F \ge n$ . There is a one-one correspondence between the irreducible factors of  $\chi(\lambda)$  and the inequivalent, irreducible representations of A over F; for each irreducible representation T the characteristic polynomial of  $T(\xi)$  is a power of an irreducible factor of  $\chi(\lambda)$ .

<u>Proof. Part 1</u>. All inequivalent, irreducible representations appear (with some multiplicity) in the regular representation (Theorem 3.5).

<u>Part 2</u>. If representation T is reducible, then clearly the characteristic polynomial of  $T(\xi)$  is reducible.

<u>Part 3.</u> We must show that if T is a representation of dimension  $r \leq n$  such that the characteristic polynomial  $\phi(\lambda, x_1, \dots, x_n)$  of  $T(\xi)$  has nontrivial distinct irreducible factors in  $F[\lambda, x_1, \dots, x_n]$ , then T is reducible.

Let  $\phi(\lambda, x_1, \dots, x_n) = \phi_1(\lambda, x_1, \dots, x_n)\phi_2(\lambda, x_1, \dots, x_n)$ , where  $\phi_1$  and  $\phi_2$  have no irreducible factors in common; equivalently, the greatest common divisor of  $\phi_1$  and  $\phi_2$  is a scalar in F. Assume  $\phi_1(\lambda)$  and  $\phi_2(\lambda)$  are monic and neither is a scalar. Since the dimension of T is at most n, deg  $\phi \leq n$ , and so deg  $\phi_1 \leq n$  and deg  $\phi_2 \leq n$ .

Let  $\{v_1, \ldots, v_n\}$  be a basis for A. Define  $W = \{w \in F^r | \text{ for all } u = u_1v_1 + \ldots u_nv_n \text{ in } A, \phi_1(T(u), u_1, \ldots, u_n)w = 0\}$ . It suffices to show that W is a nontrivial proper subspace of  $F^r$  invariant under T. If  $w \in W$  and

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 $u = u_1 v_1 + \dots + u_n v_n \in A, \text{ then } T(u) w \in W \text{ because } \phi_1(T(u), u_1, \dots, u_n) T(u) w = T(u) \phi_1(T(u), u_1, \dots, u_n) w = 0.$  Thus, W is invariant under every T(u).

<u>Claim</u>:  $W \neq F^r$ . Suppose, to the contrary,  $W = F^r$ . Then  $\phi_1(T(u), u_1, \dots, u_n) = 0$  for every  $u = u_1v_1 + \dots + u_nv_n$  in A, and the minimum polynomial of T(u) divides  $\phi_1(\lambda, u_1, \dots, u_n)$ . Since the minimum polynomial and the characteristic polynomial have the same irreducible factors, all the irreducible factors of  $\phi_2(\lambda, u_1, \dots, u_n)$  are factors of  $\phi_1(\lambda, u_1, \dots, u_n)$  for every selection of  $u_1, \dots, u_n$  from F. By Lemma 3.7, the irreducible factors of  $\phi_2(\lambda, x_1, \dots, x_n)$  divide  $\phi_1(\lambda, x_1, \dots, x_n)$ . Contradiction.

<u>Claim</u>:  $W \neq 0$ . Suppose W = 0. Then for no nonzero w in  $F^r$  does  $\phi_1(T(\xi), x_1, \dots, x_n) = 0$ . Therefore,  $\phi_1(T(\xi), x_1, \dots, x_n) \neq 0$ . Since  $\phi(T(\xi), x_1, \dots, x_n) = 0$  and  $\phi(\lambda, x_1, \dots, x_n) = \phi_1(\lambda, x_1, \dots, x_n)$ .  $\phi_2(\lambda, x_1, \dots, x_n)$ , we must have  $\phi_2(T(\xi), x_1, \dots, x_n) = 0$ . For every  $u = u_1v_1 + \dots + u_nv_n$  in A,  $\phi_2(T(u), u_1, \dots, u_n) = 0$ , and the minimum polynomial of T(u) divides  $\phi_2(\lambda, u_1, \dots, u_n)$ . As in the last paragraph we derive a contradiction.

Ergo, W is a nontrivial proper subspace of  $F^{r}$  invariant under T(u) for all  $u \in A$ , and T is reducible.

Let  $\{J_1, \ldots, J_m\}$  be a complete set of nonisomorphic minimal left ideals of the semisimple algebra A over field F whose cardinality is at least as large as the dimension of A. Each  $J_i$  is isomorphic to the left A-module engendered by an irreducible representation. For i = 1, ..., m define the division algebra  $\mathcal{D}_i = \operatorname{End}_A(J_i)$  and let  $\mu_i = [J_i:\mathcal{D}_i]$ . From [C3] we know that  $A \cong A_1 \oplus \ldots \oplus A_m$ , where each  $A_i$  is a full  $\mu_i \times \mu_i$ matrix ring over  $\mathcal{D}_i$ , and  $n = [A:F] = \sum_{i=1}^m \mu_i^2[\mathcal{D}_i:F]$ . Each simple algebra  $A_i$  is a direct sum of  $\mu_i$  copies of  $J_i$ . Let  $\chi(\lambda)$  be the characteristic polynomial of the regular representation of the general element of A, with prime factorization  $\chi(\lambda) = \chi_1(\lambda)^{\mathcal{V}_1} \cdots \chi_k(\lambda)^{\mathcal{V}_k}$ . Let deg  $\chi_i = n_i$ . Then k = m and we can reorder the  $\chi_i$  or  $J_i$  so that  $n_i \mathcal{V}_i = \mu_i^2[\mathcal{D}_i:F]$  for every i.

For each i = 1,...,m, because  $A_i$  is a direct sum of  $\mu_i$  copies of  $J_i$ , the ith representation occurs  $\mu_i$  times in the regular representation, and therefore,  $\mu_i | \nu_i$ . Thus, in some cases we can calculate each  $\mu_i$  and  $[\mathcal{D}_i:F]$  from  $n_i$  and  $\nu_i$ . For instance, if  $n_4 = 3$  and  $\nu_4 = 2$ , then  $\mu_4 = 1$ and  $[\mathcal{D}_a:F] = 6$ .

Example. Since the real quaternions  $Q_{\rm I\!R}$  form a division algebra,  $Q_{\rm I\!R}$  has one simple component (itself);  $Q_{\rm I\!R}$  is isomorphic to a 1×1 matrix ring over itself. The characteristic polynomial of the regular representation of the general element  $x_0 + x_1\hat{1} + x_2\hat{j} + x_3\hat{k}$  of  $Q_{\rm I\!R}$  is  $(\lambda^2 - 2x_0\lambda + (x_0^2 + x_1^2 + x_2^2 + x_3^2))^2$ . In this case n = 4, k = 1,  $n_1 = 2$ ,  $\nu_1 = 2$ ,  $\mu_1 = 1$ , and  $[\mathcal{D}_1:\mathbb{R}] = 4$ .

Intuitively, the general element epitomizes all elements of an algebra; the characteristic polynomial of the regular representation of the general element summarizes the properties of the representations of the algebra.

## 3C. An Algorithm for Products in Semisimple Algebras

We present a class of algorithms for multiplying elements of a semisimple algebra A over a field F. As in the last section, let A decompose into a direct sum of m simple algebras  $A_i$ ,  $i = 1, \ldots, m$ ; each  $A_i$ is a full  $\mu_i \times \mu_i$  matrix ring over a unique division algebra  $\mathcal{D}_i$ . Let [A:F] = n and let  $\{v_1, \ldots, v_n\}$  be a basis for A. Let  $\{T_1, \ldots, T_m\}$  be the inequivalent, irreducible matrix representations of A over F, with  $T_i$  corresponding to  $A_i$  for each i.

Like Winograd [W3], we can cast the problem of multiplication in A in terms of a matrix-vector product. If  $\alpha = a_1v_1 + \ldots + a_nv_n$ ,  $\beta = b_1v_1 + \ldots + b_nv_n$ , and  $\alpha\beta = c_1v_1 + \ldots + c_nv_n$ , then

$$\begin{bmatrix} c_1 \\ \vdots \\ c_n \end{bmatrix} = \rho_L(\alpha) \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix}.$$
(3.1)

Changing the basis of the algebra changes the matrix and vectors in (3.1) by only additions and scalar multiplications. Thus, a change of basis yields a computationally equivalent matrix-vector problem: a program for the new problem can be modified to produce a program for the original problem with the same number of nonscalar multiplications, and vice versa. The caracteristic polynomial of the regular representation of the general element of A remains invariant under change of basis.

With a particular change of basis we can block-diagonalize the regular matrix representation so that each matrix representation  $T_i$  occurs  $\mu_i$  times along the diagonal. Let  $n_i = [A_i:F] = {\mu_i}^2 [\mathcal{D}_i:F]$ , and let  $\{ \mathbf{v}_{11}', \dots, \mathbf{v}_{1n_1}', \mathbf{v}_{21}', \dots, \mathbf{v}_{mn_m}' \}$  be this new basis, where for each i  $\{ \mathbf{v}_{11}', \dots, \mathbf{v}_{1n_1}' \}$  is a basis for  $A_i$ . Let  $\rho_L'(\alpha)$  be the left regular matrix representation of  $\alpha$  with respect to the new basis. Let  $\beta = b_{11}' \mathbf{v}_{11}' + \dots + b_{mn_m}' \mathbf{v}_{mn_m}'$ . Computing (3.1) is equivalent to computing



Each T<sub>i</sub> has dimension  $\mu_i[\mathcal{D}_i:F] = n_i/\mu_i$ . For each i we have

$$\begin{bmatrix} T_{i}(\alpha) & 0 \\ 0 & T_{i}(\alpha) \end{bmatrix} \begin{bmatrix} b_{i1} \\ \vdots \\ b_{in_{i}} \end{bmatrix};$$
(3.3)

this matrix-vector product involves  $\mu_i$  smaller products of  $T_i$  by a  $n_i/\mu_i$ -vector. The ith product, (3.3), can be calculated with  $\mu_i (n_i/\mu_i)^2 = \mu_i^3 [\mathcal{D}_i:F]^2$  nonscalar multiplications. Therefore, we can calculate (3.2) with  $\sum_{i=1}^{m} \mu_i^3 [\mathcal{D}_i:F]^2$  nonscalar multiplications.

Example. In the quotient ring  $\mathbb{Q}[z]/(z^2 + z - 6) \cong \mathbb{Q}[z]/(z + 3) \oplus \mathbb{Q}[z]/(z - 2)$  the computation of  $c_0 + c_1 z = (a_0 + a_1 z)(b_0 + b_1 z) \mod (z^2 + z - 6)$  can be expressed in two equivalent ways:

$$\begin{bmatrix} c_0 \\ c_1 \end{bmatrix} = \begin{bmatrix} a_0 & 6a_1 \\ a_1 & a_0 - a_1 \end{bmatrix} \begin{bmatrix} b_0 \\ b_1 \end{bmatrix}, \begin{bmatrix} c_0 + 2c_1 \\ c_0 - 3c_1 \end{bmatrix} = \begin{bmatrix} a_0 + 2a_1 & 0 \\ 0 & a_0 - 3a_1 \end{bmatrix} \begin{bmatrix} b_0 + 2b_1 \\ b_0 - 3b_1 \end{bmatrix}.$$

The first matrix is the left regular matrix representation of  $a_0 + a_1 z$ with respect to the basis {1, z}, the second with respect to the basis  $\{\frac{3}{5} + \frac{z}{5}, \frac{2}{5} - \frac{z}{5}\}.$ 

We now present an algorithm that also uses  $\sum_{i=1}^{m} \mu_i^3 [\mathcal{D}_i:F]^2$  nonscalar multiplications in the worst case, but fewer in several important cases. Let  $\eta_i$  be the natural epimorphism of A onto  $A_i$ . Because  $A \cong A_1 \oplus \ldots \oplus A_m$ , there is a one-one correspondence between elements of A and m-tuples of their projections:

$$\alpha \leftrightarrow (\eta_1(\alpha), \ldots, \eta_n(\alpha))$$
 for all  $\alpha \in A$ .

Each  $\eta_i(\alpha)$  is a  $\mu_i \times \mu_i$  matrix with entries in  $\mathcal{D}_i$ .

Algorithm 3.9. To compute the coefficients  $\{c_1, \ldots, c_n\}$  of the product  $\gamma = \alpha\beta = c_1v_1 + \ldots + c_nv_n$  of  $\alpha = a_1v_1 + \ldots + a_nv_n$  and  $\beta = b_1v_1 + \ldots + b_nv_n$  in A from  $\{a_1, \ldots, a_n, b_1, \ldots, b_n\}$ .

Step 1. For each simple algebra  $A_i$  calculate the projections  $A_i = \eta_i(\alpha)$  of  $\alpha$  and  $B_i = \eta_i(\beta)$  of  $\beta$  in  $A_i$ . Each  $A_i$  and  $B_i$  is a  $\mu_i \times \mu_i$ matrix with entries in  $\mathcal{D}_i$ . <u>Step 2</u>. Multiply corresponding matrices. Let  $C_i = A_i B_i$  for  $i = 1, \ldots, m$ .

Step 3. Find the element  $\gamma$  of A such that the projection of  $\gamma$  in  $A_i$  is  $c_i = \eta_i(\gamma)$  for  $i = 1, \dots, m$ .

In essence, we multiply the projections  $\{A_1, \ldots, A_m\}$  and  $\{B_1, \ldots, B_m\}$  of  $\alpha$  and  $\beta$  in the simple algebras  $A_1, \ldots, A_m$ . The result  $\gamma$  is uniquely determined by  $\{C_1, \ldots, C_m\}$ .

The algorithm employs a generalization of the discrete Fourier transform, which maps convolutional multiplication of two vectors into pointwise multiplication of the transformed vectors. For general semisimple algebras we "transform" an element into a set of matrices (its projections), not all of which may be 1×1. The "transform" of the product of two elements equals the set of products of corresponding matrices.

To perform Step 2 we multiply  $\mu_i \times \mu_i$  matrices with entries in  $\mathcal{D}_i$ . The matrix multiplication in  $A_i$  requires at most  $\mu_i^3$  products of elements of  $\mathcal{D}_i$ . Each of these products in  $\mathcal{D}_i$  requires at most  $[\mathcal{D}_i:F]^2$  nonscalar multiplications over F. Because Steps 1 and 3 comprise only additions and scalar multiplications, Algorithm 3.9 uses at most  $\sum_{i=1}^{m} \mu_i^3 [\mathcal{D}_i:F]^2$  nonscalar multiplications.

Alternatively, one could compute  $\{c_1, \ldots, c_n\}$  by calculating  $\{a_{ij} \mid 1 \le i \le n, 1 \le j \le n\}$  and taking linear combinations of these products. This naive method uses  $n^2$  nonscalar multiplications. Since  $n^2 = (\sum_{i=1}^m \mu_i^2[\mathcal{D}_i:F])^2 \ge \sum_{i=1}^m \mu_i^3[\mathcal{D}_i:F]^2$ , Algorithm 3.9 is superior. We consider three special cases.

1. The divison algebra  $\mathcal{V}_{i}$  is commutative. For example, if the base field F is finite, then each divison algebra  $\mathcal{V}_{i}$  is finite; thus, by a celebrated theorem of Wedderburn (e.g., [J1, p. 431]), each  $\mathcal{V}_{i}$  must be commutative. One can use Winograd's algorithm [W2] to calculate the matrix product  $A_{i}B_{i}$  with only  $2\mu_{i}^{2} + (\mu_{i}^{2} - 2\mu_{i})[\frac{1}{2}(\mu_{i} + 1)]$  products of elements of  $\mathcal{V}_{i}$  because  $\mathcal{V}_{i}$  is commutative. Moreover, the commutativity of  $\mathcal{V}_{i}$  implies that it is a finite-dimensional extension field of F. If  $\mathcal{V}_{i}$  is separable over F (e.g., if F is perfect), then  $\mathcal{V}_{i}$  has a primitive element:  $\mathcal{V}_{i} \cong F[z]/(\pi_{i}(z))$  for some irreducible polynomial  $\pi_{i}$  of degree  $[\mathcal{V}_{i}:F]$ . If F has at least  $2[\mathcal{V}_{i}:F]$ -1 distinct elements, then Algorithm 2.5 may be used to multiply elements of  $\mathcal{V}_{i}$  with only  $2[\mathcal{V}_{i}:F]$ -1 nonscalar multiplications, meeting the lower bound of Theorem 2.4.

2. <u>All  $[D_i:F] = 1$ </u>. In this case the projections  $A_i$  and  $B_i$  in Algorithm 3.9 coincide with the irreducible, inequivalent matrix representations  $\{T_1, \ldots, T_m\}$  of A over F:  $A_i = T_i(\alpha)$  and  $B_i = T_i(\beta)$  for  $i = 1, \ldots, m$ . Let  $\rho_L(\alpha) \leftrightarrow S\rho_L(\alpha)S^{-1}$  be the similarity transformation (change of basis) that block-diagonalizes the left regular matrix representation--cf. (3.2). Calculating all products  $A_iB_i$  simultaneously is equivalent to multiplying  $(S\rho_L(\alpha)S^{-1})(S\rho_L(\beta)S^{-1})$ .

According to Schur's Lemma (Theorem 3.6), all  $[\mathcal{D}_{i}:F] = 1$  when F is algebraically closed. If A is a group algebra F[G] and F is a splitting field for G, then all  $[\mathcal{D}_{i}:F] = 1$ . In this latter case, to multiply  $(\sum_{g \in G} a_{g}g) (\sum_{g \in G} b_{g}g) = (\sum_{g \in G} c_{g}g)$ , we calculate

$$A_{i} = T_{i}(\alpha) = \sum_{g \in G} a_{g}T_{i}(g), \quad B_{i} = T_{i}(\beta) = \sum_{g \in G} b_{g}T_{i}(g),$$

for i = 1, ..., m in Step 1 of Algorithm 3.9. Modifying the inverse transform of equation (1.1), we obtain

$$c_{g} = \sum_{i=1}^{m} \sum_{j=1}^{\mu_{i}} \sum_{k=1}^{\mu_{i}} \frac{\mu_{i}}{n} (A_{i}B_{i})_{jk} T_{i}(g^{-1})_{kj},$$

where  $(A_iB_i)_{jk}$  is the j,k entry of  $A_iB_i$  and  $T_i(g^{-1})_{kj}$  is the k,j entry of  $T_i(g^{-1})$ .

3. The simple algebra  $A_i$  is commutative. Because  $A_i$  is a full ring of  $\mu_i \times \mu_i$  matrices,  $\mu_i = 1$ ; otherwise, if  $\mu_i > 1$ , we could find a pair of matrices that do not commute. Then  $A_i$  is isomorphic to the division algebra  $\mathcal{D}_i$ , and the discussion for a commutative  $\mathcal{D}_i$  (above) applies. Fiduccia and Zalcstein [F2] studied commutative semisimple algebras over perfect fields, in which the simple component algebras  $A_i$  are separable extension fields (Theorem 2.7).

In general, we might need fewer than  $\mu_1^{3}[\mathcal{D}_1:F]$  nonscalar multiplications to multiply the matrices  $A_1B_1$  in Step 2 of Algorithm 3.9. Multiplying a pair of  $\mu \times \mu$  matrices over any ring requires at most  $\mathcal{O}(\mu^{\log_2 7})$ nonscalar multiplications (over that ring) [Al]. Fischer [F3] has demonstrated that at most  $3.912\mu^{\log_2 7}$  total arithmetic operations are required if  $\mu > 13$ . Let  $M(\mu, R)$  be the number of nonscalar multiplications required to multiply two  $\mu \times \mu$  matrices with entries in a ring R. For every ring  $R_1M(1,R) = 1$ ,  $M(2^k,R) \leq 7^k$  for any positive integer k [S1],  $M(2,R) \geq 7$  [W4], and  $M(3,R) \leq 23$  [L1]. (Actually, Winograd [W4] showed that over  $\mathfrak{P}$  multiplying a pair of 2×2 matrices requires at least 7 nonscalar multiplications, but his proof holds for any commutative ring; since any program for multiplying matrices with entries in any (possibly noncommutative) ring can be used if the ring is commutative, we conclude that M(2,R) = 7 for every R.)

Fiduccia [private communication] speculates that the multiplicative complexity of any division algebra is linear in its dimension. Fast algorithms for multiplying elements of general noncommutative division algebras have not yet been discovered.

<u>Conjecture</u>. The multiplicative complexity of A over F is at least  $\sum_{i=1}^{m} M(\mu_i, \mathcal{P}_i) (2[\mathcal{P}_i:F] - 1).$ 

Proving this conjecture seems to require two results: (1) the multiplicative complexity of A equals the sum of the complexities of the  $A_i$ ; (2) the multiplicative complexity of each  $A_i$  is at least  $M(\mu_i, \mathcal{D}_i)$  (2[ $\mathcal{D}_i$ :F] - 1). Part (1) is related to the "Direct Sum Conjecture" of [F2] and [W5]: that the multiplicative complexity of

$$\begin{bmatrix} M_{1}(\underline{\zeta}^{(1)}) & 0 \\ 0 & M_{2}(\underline{\zeta}^{(2)}) \end{bmatrix} \begin{bmatrix} \underline{\tau}^{(1)} \\ \underline{\tau}^{(2)} \end{bmatrix},$$

where components of  $\underline{\zeta}^{(1)}$ ,  $\underline{\zeta}^{(2)}$ ,  $\underline{\tau}^{(1)}$ , and  $\underline{\tau}^{(2)}$  are disjoint sets of indeterminates, equals the sum of the complexities of the matrix-vector products  $M_1(\underline{\zeta}^{(1)})\underline{\tau}^{(1)}$  and  $M_2(\underline{\zeta}^{(2)})\underline{\tau}^{(2)}$ . Instead of disjoint sets of indeterminates, however, we have linearly independent linear combinations of indeterminates. Part (2) appears to be a generalization of Theorem 2.4. In summary, Algorithm 3.9 enables us to compute the product of two elements of A with at most  $\sum_{i=1}^{m} \mu_i^{3} [\mathcal{D}_i:F]^2$  nonscalar multiplications. The factor  $\mu_i^{3}$  can be replaced by the number of nonscalar multiplications with which a pair of  $\mu_i \times \mu_i$  matrices with entries in  $\mathcal{D}_i$  can be multiplied. For the factor  $[\mathcal{D}_i:F]^2$  one may substitute the number of nonscalar multiplications with which products in  $\mathcal{D}_i$  can be calculated; if  $\mathcal{D}_i$  is commutative and F is a perfect field with at least  $2[\mathcal{D}_i:F]^{-1}$  distinct elements, then the factor  $[\mathcal{D}_i:F]^2$  can be replaced by  $2[\mathcal{D}_i:F]^{-1}$ .

### 4. EXAMPLES

## 4A. Polynomial Algebras

Consider the quotient polynomial algebra  $F[z]/(\pi(z))$  in which the irreducible factors of  $\pi(z) = p_0 + p_1 z + \ldots + p_{n-1} z^{n-1} + z^n$  all have multiplicity 1:  $\pi(z)$  has prime factorization  $\pi_1(z) \cdots \pi_k(z)$ , where  $\pi_1$ ,  $\ldots$ ,  $\pi_k$  are distinct irreducible polynomials in F[z]. This algebra is semisimple:

 $F[z]/(\pi(z)) \stackrel{\sim}{=} F[z]/(\pi_1(z)) \oplus \dots \oplus F[z]/(\pi_k(z));$ 

each simple component algebra  $F[z]/(\pi_i(z))$  is a finite-dimensional extension field of F.

For semisimple algebras Algorithm 2.5 is a special case of Algorithm 3.9. The product  $(\alpha(z) \mod \pi_i(z))(\beta(z) \mod \pi_i(z))$  is merely the product of the projections of  $\alpha$  and  $\beta$  in the simple algebra  $F[z]/(\pi_i(z))$ . The algorithms of Moenck and Borodin [M1] [B2] can be used to perform for each  $\pi_i$  the interpolation and multiple evaluation in Steps 3 and 4 of Algorithm 2.5 with  $\partial(n_i(\log n_i)^2)$  additions and scalar multiplications. Furthermore, one can employ these algorithms to calculate rapidly the residues  $\alpha(z) \mod \pi_i(z)$  and  $\beta(z) \mod \pi_i(z)$  as well as the reconstruction of  $\alpha(z)\beta(z)$  from the  $\alpha(z)\beta(z) \mod \pi_i(z)$  (i = 1,...,k).

In Algorithm 2.5 modular representation and Chinese remaindering are used in two different ways. Steps 2 and 5 perform a transform  $\hat{a}_i(z) = \alpha(z) \mod \pi_i(z)$  and its inverse; the polynomials  $\pi_1(z), \ldots, \pi_k(z)$  are uniquely determined by  $\pi(z)$ . This transform corresponds to the transform of Algorithm 3.9: each  $\hat{\alpha}_i$  is the projection of  $\alpha$  in the simple algebra  $F[z]/(\pi_i(z))$ . In contrast, Steps 3 and 4 of Algorithm 2.5 multiply the transformed polynomials  $\hat{\alpha}_i$  and  $\hat{\beta}_i$  in each  $F[z]/(\pi_i(z))$ . The elements  $u_1$ , ...,  $u_{2n_i-1}$  are chosen freely; for instance, if F contains a primitive  $(2n_i-1)$ th root of unity  $\omega$  and we select  $u_j = \omega^j$  for  $j = 1, \ldots, 2n_i-1$ , then Steps 3 and 4 may be performed with a fast Fourier transform.

When  $\pi(z) = z^n - 1$ , the algebra  $F[z]/(\pi(z))$  is isomorphic to  $F[Z_n]$ , the algebra of the cyclic group  $Z_n$  over F. According to Maschke's Theorem (Theorem 3.3), the algebra  $F[Z_n]$  is semisimple if char  $F \nmid n$ . The irreducible factors of  $z^n - 1$  are the well-known cyclotomic polynomials when  $F = \Phi$  [J1]; the number of irreducible factors of  $z^n - 1$  in  $\Phi[z]$  is  $\Delta(n)$ , the number of positive integral divisors of n. If F = R, then  $z^n - 1$  has only linear and quadratic irreducible factors: a total of  $\lceil \frac{n+1}{2} \rceil$ . For many  $n, \lceil \frac{n+1}{2} \rceil \ge \Delta(n)$ . By Theorems 2.6 and 2.10, the multiplicative complexity of  $\Phi[z]/(z^5 - 1)$  is  $2 \cdot 5 - \Delta(5) = 8$ , whereas the multiplicative complexity of  $\Re[z]/(z^5 - 1)$  is  $2 \cdot 5 - \lceil \frac{5+1}{2} \rceil = 7$ . For any positive integer n the multiplicative complexity of  $\Phi[z]/(z^n - 1)$  is n because  $z^n - 1$  splits into linear factors over C. Clearly, the multiplicative complexity of a group algebra depends on the base field. We expect a minimum complexity for a group algebra if the base field is a splitting field for the group.

Example. The left regular matrix representation of the general element  $\xi = x_0 + x_1 z + x_2 z^2$  of  $F[z]/(z^3 - 1) = F[Z_3]$  with respect to the basis  $\{1, z, z^2\}$  is

$$\rho_{\mathbf{L}}(\xi) = \begin{bmatrix} x_0 & x_2 & x_1 \\ x_1 & x_0 & x_2 \\ x_2 & x_1 & x_0 \end{bmatrix}.$$

Suppose F = Q. We know that  $Q[z]/(z^3 - 1) \cong Q[z]/(z - 1) \oplus Q[z]/(1 + z + z^2)$ , so  $Q[z]/(z^3 - 1)$  has two irreducible, inequivalent representations. Appropriately changing the basis of  $Q[z]/(z^3 - 1)$  changes  $\rho_L(\xi)$  to

$x_0 + x_1 + x_2$	0	0
0	x0 <sup>-x</sup> 2	x <sub>2</sub> -x <sub>1</sub> .
0	x1-x5	×0-×1

Matrix representations of dimensions 1 and 2 appear on the diagonal. We can interpret  $\mathbb{Q}[z]/(1 + z + z^2)$  to be a ring of 1×1 matrices over itself, a commutative division algebra of dimension 2 over  $\mathbb{Q}$ . The multiplicative complexity of  $\mathbb{Q}[\mathbb{Z}_3]$  is  $1 + 1^3(2 \cdot 2 - 1) = 4$ .

If  $F = \mathbb{C}$  instead of  $\mathbb{Q}$ , then  $F[z]/(z^3 - 1)$  has three simple component algebras:  $\mathbb{C}[z]/(z^3 - 1) \stackrel{\sim}{=} \mathbb{C}[z]/(z - 1) \oplus \mathbb{C}[z]/(z - \omega) \oplus \mathbb{C}[z]/(z - \omega^2)$ , where  $\omega$  is a primitive cube root of unity in  $\mathbb{C}$ . We can find a basis for  $\mathbb{C}[z]/(z^3 - 1)$  so that  $\rho_r(\xi)$  is

$$\begin{bmatrix} x_0 + x_1 + x_2 & 0 & 0 \\ 0 & x_0 + \omega x_1 + \omega^2 x_2 & 0 \\ 0 & 0 & x_0 + \omega^2 x_1 + \omega x_2 \end{bmatrix}.$$

When the base field F is perfect one can calculate the characteristic polynomial of the regular representation of the general element of  $F[z]/(\pi(z))$  indirectly. <u>Theorem 4.1</u> (e.g., [C3, p. 208]). Let N be a n×n matrix with entries in a field F and let  $\sigma_1, \ldots, \sigma_n$  be its eigenvalues. For any polynomial  $\phi(z)$  in F[z] the eigenvalues of  $\phi(N)$  are  $\phi(\sigma_1), \ldots, \phi(\sigma_n)$ .

Suppose F is perfect. Let P be the companion matrix for  $\pi(z) = p_0 + p_1 z + \ldots + p_{n-1} z^{n-1} + z^n = \pi_1(z) \cdots \pi_k(z)$ , where  $\pi_1, \ldots, \pi_k$  are distinct irreducible polynomials:

$$P = \begin{bmatrix} 0 & 0 & -p_0 \\ 1 & 0 & -p_1 \\ & 1 & \vdots \\ & & 0 & -p_{n-2} \\ 0 & & 1 & -p_{n-1} \end{bmatrix};$$

since the characteristic polynomial of P is  $\pi$ , the eigenvalues of P are the roots of  $\pi$ . Let field E split  $\pi$  over F: in E[z] we have  $\pi_i(z) = (z - \sigma_{i1}) \cdots (z - \sigma_{in_i})$  for  $i = 1, \dots, k$ , where  $n_i = \deg \pi_i$ ; all roots  $\sigma_{ij}$  are distinct because F is perfect. By Theorem 4.1, the characteristic polynomial of  $\rho_L(\xi) = x_0 + x_1^P + \dots + x_{n-1}^{p^{n-1}}$  is  $\chi(\lambda) = \det(\lambda I_n - \rho_L(\xi)) = \chi_1(\lambda) \cdots \chi_k(\lambda)$ , where

$$\chi_{i}(\lambda) = \prod_{j=1}^{n} [\lambda - (x_{0} + x_{1}\sigma_{j} + x_{2}\sigma_{j}^{2} + \dots + x_{n-1}\sigma_{j}^{n_{i}-1})].$$

For each i, the polynomial  $\chi_i(\lambda)$ , when written in  $F[\lambda, x_0, \dots, x_{n-1}]$ , involves only symmetric polynomials in  $\{\sigma_{i1}, \dots, \sigma_{in_i}\}$ . Because the coefficients of  $\pi_i(z)$ , with suitable changes of sign, are the values of the elementary symmetric polynomials in  $\{\sigma_{i1}, \dots, \sigma_{in_i}\}$ , one can compute each  $\chi_i(\lambda)$  from the coefficients of  $\pi_i(z)$ .

Example. Suppose the polynomial  $\pi(z) = p_0 + p_1 z + p_2 z^2 + p_3 z^3$  is irreducible in F[z]. Let  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  be the distinct roots of  $\pi(z)$ in some splitting field E:  $\pi(z) = (z - \sigma_1)(z - \sigma_2)(z - \sigma_3)$  in E[z]. The elementary symmetric polynomials in  $\{\sigma_1, \sigma_2, \sigma_3\}$  are  $\varepsilon_0 = \sigma_1 \sigma_2 \sigma_3$ ,  $\varepsilon_1 = \sigma_1 \sigma_2 + \sigma_2 \sigma_3 + \sigma_3 \sigma_1$ , and  $\varepsilon_2 = \sigma_1 + \sigma_2 + \sigma_3$ ; clearly,  $\varepsilon_0 = -p_0$ ,  $\varepsilon_1 = p_1$ , and  $\varepsilon_2 = -p_2$ . The characteristic polynomial of the regular representation of the general element  $\mathbf{x}_0 + \mathbf{x}_1 \mathbf{z} + \mathbf{x}_2 \mathbf{z}^2$  is

$$\chi(\lambda) = [\lambda - (x_0 + x_1\sigma_1 + x_2\sigma_1^2)] [\lambda - (x_0 + x_1\sigma_2 + x_2\sigma_2^2)] [\lambda - (x_0 + x_1\sigma_3 + x_2\sigma_3^2)]$$

$$= \lambda^3 - [3x_0 + \varepsilon_2 x_1 + (\varepsilon_2^2 - 2\varepsilon_1) x_2] \lambda^2$$

$$+ [3x_0^2 + \varepsilon_1 x_1^2 + (\varepsilon_1^2 - 2\varepsilon_0\varepsilon_2) x_2^2 + 2\varepsilon_2 x_0 x_1$$

$$+ 2(\varepsilon_2^2 - 2\varepsilon_1) x_0 x_2 + (\varepsilon_2\varepsilon_1 - 3\varepsilon_0) x_1 x_2] \lambda$$

$$- [x_0^3 + \varepsilon_2 x_0^2 x_1 + (\varepsilon_2^2 - 2\varepsilon_1) x_0^2 x_2 + \varepsilon_0 x_1^3$$

$$+ \varepsilon_1 x_1^2 x_0 + \varepsilon_2 \varepsilon_0 x_1^2 x_2 + \varepsilon_0^2 x_2^3 + (\varepsilon_1^2 - 2\varepsilon_0\varepsilon_2) x_2^2 x_0$$

$$+ \varepsilon_1 \varepsilon_0 x_2^2 x_1 + (\varepsilon_2\varepsilon_1 - 3\varepsilon_0) x_0 x_1 x_2].$$

Thus, when F is perfect, the characteristic polynomial  $\chi(\lambda)$  is the product of distinct linear factors in  $E[\lambda, x_0, \ldots, x_{n-1}]$  and hence has no repeated factors in  $F[\lambda, x_0, \ldots, x_{n-1}]$ . Each factor  $\chi_i$ , irreducible in  $F[\lambda, x_0, \ldots, x_{n-1}]$ , corresponds to a factor  $\pi_i$  of  $\pi$ , and hence also to the simple subalgebra  $F[z]/(\pi_i(z))$ , as guaranteed by Theorem 3.8.

### 4B. Abelian Group Algebras

We examine the semisimple algebra F[G] of a finite abelian group G over a field F. Let n = card G. By Maschke's Theorem, F[G] is semisimple if char F  $\nmid$  n.

First, we present a new proof of Chalkley's calculation [Cl] of the characteristic polynomial of the regular representation of the general element of F[G] when F has a primitive nth root of unity. (Of course, if F has a primitive nth root of unity, then char F  $\nmid$  n necessarily.) The proof hinges on the decomposition of G into a direct product of cyclic groups and on Ingraham's observation [Il] that the determinant is transitive.

Lemma 4.2 ([I1]). Let M be a mr×mr matrix with entries in field F. Suppose M can be partitioned into a m×m matrix of r×r matrices N<sub>ij</sub> such that these r×r matrices commute. Let R be a commutative subring of  $F^{r\times r}$  containing all these N<sub>ij</sub>. Then

det M = det (det<sub>R</sub> M),

where det<sub>R</sub> M is the determinant of M as a m×m matrix with entries in R. Moreover, if  $I_{mr}$  is the mr×mr identity matrix, then

det  $(\lambda I_{mr} - M) = det (det_{R[\lambda]} (\lambda I_{mr} - M)).$ 

Proof. [I1] or [J1, pp. 407-408].

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Lemma 4.3. Let x, x, ..., x be indeterminates and let  $\omega$  be a primitive nth root of unity. The characteristic polynomial of

$$M = \begin{bmatrix} x_0 & x_{n-1} & x_{n-2} & \cdots & x_1 \\ x_1 & x_0 & x_{n-1} & \cdots & x_2 \\ x_2 & x_1 & x_0 & \cdots & x_3 \\ \vdots & \vdots & \vdots & & \vdots \\ x_{n-1} & x_{n-2} & x_{n-3} & \cdots & x_0 \end{bmatrix}$$
  
is  $\sum_{i=1}^{n} [\lambda - (x_0 + x_1 \omega^i + x_2 \omega^{2i} + \dots + x_{n-1} \omega^{(n-1)i})].$ 

<u>Proof.</u> Let P be the companion matrix for  $z^n - 1$ . The matrix M is the left regular matrix representation of  $x_0 + x_1 z + \ldots + x_{n-1} z^{n-1}$  in  $F[z]/(z^n - 1)$  with respect to the basis  $\{1, z, \ldots, z^{n-1}\}$ ; i.e., M =  $x_0 + x_1 P + \ldots + x_{n-1} P^{n-1}$ . The lemma follows from Theorem 4.1.

<u>Theorem 4.4</u> ([Cl]). Let F be a field with a primitive nth root of unity  $\omega$ . Let G be a finite abelian group of order n isomorphic to a direct product of r cyclic groups  ${}^{h_1}$ , ...,  ${}^{h_r}$  of orders  $n_1$ , ...,  $n_r$ . The characteristic polynomial of the regular representation of the gen-

eral element  $\xi = \sum_{i_1=0}^{n_1-1} \cdots \sum_{i_r=0}^{n_r-1} x(i_1, \dots, i_r) h_1^{i_1} \cdots h_r^{i_r}$  of F[G] is

$$\chi(\lambda) = \prod_{\substack{j_1=0\\j_1=$$

where each  $\omega_k = \omega^{n/n} k$ .

Proof. We proceed by induction on r.

<u>Case</u>: r = 1. When  $G \stackrel{\sim}{=} Z_n$ , the recherché result is a restatement of Lemma 4.3.

<u>Case</u>: r > 1. Assume the result for direct products of r-l cyclic groups. Suppose  $n_r = 3$ ; the proof for arbitrary values of  $n_r$  is analogous. Then  $G \cong G_0 \times Z_3$ , where  $G_0$  comprises r-l cyclic groups. With respect to a basis ordering the elements of the group properly, the left regular matrix representation of an element

where  $N_0$ ,  $N_1$ , and  $N_2$  are left regular  $\frac{n}{n_r} \frac{n}{n_r}$  matrix representations of certain elements of  $F[G_0]$ . The matrices  $N_0$ ,  $N_1$ , and  $N_2$  commute because  $G_0$  is abelian. Since  $\omega_r$  is a primitive cube root of unity, Lemma 4.2 implies that the characteristic polynomial of M is

$$\det (\lambda I_{n} - M) = \det [(\lambda I_{n/n_{r}} - (N_{0} + N_{1} + N_{2})) \cdot (\lambda I_{n/n_{r}} - (N_{0} + \omega_{r} N_{1} + \omega_{r}^{2} N_{2})) (\lambda I_{n/n_{r}} - (N_{0} + \omega_{r}^{2} N_{1} + \omega_{r}^{4} N_{2}))].$$

By the inductive hypothesis, the characteristic polynomials of  $N_0+N_1+N_2, N_0+\omega_rN_1+\omega_r^2N_2, \text{ and } N_0+\omega_r^2N_1+\omega_r^4N_2 \text{ are}$   $\det (\lambda I - (N_0+N_1+N_2)) =$   $\Pi_j \cdots \Pi_{j_{r-1}} [\lambda - \sum_{i_1} \cdots \sum_{i_{r-1}} (u(i_1, \dots, i_{r-1}, 0) + u(i_1, \dots, i_{r-1}, 1) + u(i_1, \dots, i_{r-1}, 2))\omega_1^{(i_1j_1} \cdots \omega_{r-1}^{(i_{r-1}j_{r-1})}],$ 

$$\det (\lambda I - (N_0 + \omega_r N_1 + \omega_r^2 N_2)) =$$

$$\prod_{j_1} \cdots \prod_{j_{r-1}} [\lambda - \sum_{i_1} \cdots \sum_{i_{r-1}} (u(i_1, \dots, i_{r-1}, 0) + \omega_r u(i_1, \dots, i_{r-1}, 1) + \omega_r^2 u(i_1, \dots, i_{r-1}, 2)) \omega_1^{(i_1 j_1 \dots \dots \omega_{r-1}, i_{r-1} j_{r-1})},$$

$$\det (\lambda I - (N_0 + \omega_r^2 N_1 + \omega_r^4 N_2)) =$$

$$\prod_{j_1} \cdots \prod_{j_{r-1}} [\lambda - \sum_{i_1} \cdots \sum_{i_{r-1}} (u(i_1, \dots, i_{r-1}, 0) + \omega_r^2 u(i_1, \dots, i_{r-1}, 1) + \omega_r^4 u(i_1, \dots, i_{r-1}, 2)) \omega_1^{(i_1 j_1 \dots \dots \omega_{r-1}, i_{r-1} j_{r-1})}.$$

Because det  $(\lambda I_n - M) = \det (\lambda I - (N_0 + N_1 + N_2)) \cdot \det (\lambda I - (N_0 + \omega_r N_1 + \omega_r^2 N_2)) \cdot \det (\lambda I - (N_0 + \omega_r^2 N_1 + \omega_r^4 N_2)) =$ 

for every selection of elements  $u(i_1, \ldots, i_r)$  of F, (4.1) holds incontrovertibly for indeterminates  $\{x(i_1, \ldots, i_r)\}$ . []

Note that although the abelian group G decomposes into a direct product of cyclic groups of prime power order, the proof of Theorem 4.4 did not require this property of the orders of the cyclic groups.

Since  $\chi(\lambda)$  has n distinct linear factors over  $F[\lambda, \mathbf{x}(0, \ldots, 0), \ldots, \mathbf{x}(n_1-1, \ldots, n_r-1)]$ , Theorem 3.8 asserts that there are n irreducible, inequivalent 1×1 matrix representations of F[G], each of which occurs once in the regular representation. These representations are

$$\mathbf{T}_{j_{1}\cdots j_{r}}: \sum_{i_{1}=0}^{n_{1}-1} \sum_{i_{r}=0}^{n_{r}-1} (i_{1}\cdots i_{r})h_{1}^{i_{1}\cdots h_{r}^{i_{r}}}$$

$$\sum_{i_{1}=0}^{n_{1}-1} \sum_{i_{r}=0}^{n_{r}-1} (i_{1}\cdots i_{r})\omega_{1}^{i_{1}j_{1}\cdots \omega_{r}^{i_{r}j_{r}}}$$

for  $0 \leq j_1 \leq n_1^{-1}$ , ...,  $0 \leq j_r \leq n_r^{-1}$ . Following Chalkley [C1], one can construct a matrix  $\Omega$  whose entries are various powers of  $\omega$  such that  $\Omega \rho_L(\xi) \Omega^{-1}$  is diagonal, where  $\rho_L$  is the left regular representation with respect to the basis comprising the elements of G. For each  $\alpha =$  $\sum_{i_1} \cdots \sum_{i_r} a(i_1, \ldots, i_r) h_1^{i_1} \cdots h_r^{i_r}$  in F[G] the diagonal entries of  $\Omega \rho_L(\alpha) \Omega^{-1}$  are the representations  $T_{j_1} \ldots j_r(\alpha)$ . An n-vector  $\hat{\alpha}$  with components  $T_{j_1} \ldots j_r(\alpha)$  ( $0 \leq j_1 \leq n_1^{-1}$ , ...,  $0 \leq j_r \leq n_r^{-1}$ ) is the multidimensional discrete Fourier transform of an n-vector with components  $a(i_1, \ldots, i_r)$  ( $0 \leq i_1 \leq n_1^{-1}$ , ...,  $0 \leq i_r \leq n_r$ ). To compute  $\gamma = \alpha\beta$  in F[G], one multiplies the multidimensional transforms  $\hat{\alpha}$  and  $\hat{\beta}$  componentwise to form  $\hat{\gamma}$ . This method is equivalent to calculating  $(\Omega \rho_L(\alpha) \Omega^{-1}) (\Omega \rho_L(\alpha) \Omega^{-1}) = \Omega \rho_L(\alpha) \Omega^{-1}$ , which involves simultaneously the products of the n representations:  $T_{j_1} \ldots j_r(\alpha) T_{j_1} \ldots j_r(\beta) = T_{j_1} \ldots j_r(\gamma)$ . This use of the multidimensional discrete Fourier transform is a special case of the procedure outlined in Section 3C.

When F does not contain a primitive nth root of unity, however, a multidimensional approach may be inferior to Algorithm 3.9. For example, consider  $\mathfrak{Q}[\mathbb{Z}_3 \times \mathbb{Z}_5] \cong \mathfrak{Q}[s,t]/((s^3 - 1)(t^5 - 1))$ , where  $\mathbb{Z}_3 \times \mathbb{Z}_5 = \{s^i t^j \mid 0 \le i \le 2, 0 \le j \le 4\}$ . To compute  $\alpha\beta =$   $(\sum_{i,j} a_{ij}s^{i}t^{j}) \sum_{i,j} b_{ij}s^{i}t^{j})$ , one could calculate  $\alpha\beta$  as polynomials in s: one could multiply

$$\left[\sum_{i} \left(\sum_{j} a_{ij} t^{j}\right) s^{i}\right] \left[\sum_{i} \left(\sum_{j} b_{ij} t^{j}\right) s^{i}\right] \mod (s-1)$$

with one product of polynomials in t (mod  $(t^{5} - 1))$  and

$$[\sum_{i} (\sum_{j} a_{ij}t^{j})s^{i}][\sum_{i} (\sum_{j} b_{ij}t^{j})s^{i}] \mod (s^{2} + s + 1)$$

with three products of polynomials in t (mod (t<sup>5</sup> - 1)). By Theorem 2.6, each product of polynomials in t can be calculated with 2.5 - 2 = 8 nonscalar multiplications because t<sup>5</sup> - 1 has two irreducible factors in Q[t]. This multidimensional method requires 4.8 = 32 nonscalar multiplications. But because  $Q[Z_3 \times Z_5] \cong Q[Z_{15}] \cong Q[z]/(z^{15} - 1)$  and  $z^{15} - 1$ has 4 distinct irreducible factors over Q, we can multiply elements of  $Q[Z_3 \times Z_5]$  with only 2.15 - 4 = 26 nonscalar multiplications.

Instead of using a multidimensional approach for Q[G], one could decompose Q[G] into a direct sum of simple algebras, as in Theorem 2.7 and Algorithm 3.9. For instance,

$$\mathbb{Q}[\mathbb{Z}_{3} \times \mathbb{Z}_{3}] \stackrel{\sim}{=} \frac{\mathbb{Q}[s,t]}{((s-1), (t-1))} \oplus \frac{\mathbb{Q}[s,t]}{((s^{2}+s+1), (t-1))} \oplus \frac{\mathbb{Q}[s,t]}{((s^{2}+s+1), (t^{2}+t+1))} \oplus \frac{\mathbb{Q}[s,t]}{((s^{2}+s+1), (t^{2}+t+1))}.$$

Because  $\mathbb{Q}[G]$  is commutative, each direct summand is a finite-dimensional extension field of  $\mathbb{Q}$ , which is perfect. Let  $\Delta(m)$  be the number of positive integral divisors of an integer m. In  $\mathbb{Q}[z]$  the polynomial  $z^n - 1$  has  $\Delta(n)$  irreducible factors. If G is the direct product of cyclic

groups of orders  $n_1$ , ...,  $n_r$ , then the number of fields (simple subalgebras) into which Q[G] decomposes is  $\Delta(n_1)\cdots\Delta(n_r)$ . By Theorem 2.7, the multiplicative complexity of Q[G] is at most  $2n - \Delta(n_1)\cdots\Delta(n_r)$ , where  $n = n_1 \cdots n_r$  is the cardinality of G. The multidimensional approach described in the last paragraph requires  $\prod_{i=1}^r (2n_i - \Delta(n_i))$  nonscalar multiplications. An easy inductive argument shows that  $\prod_{i=1}^r (2n_i - \Delta(n_i)) \ge 2n - \Delta(n_1)\cdots\Delta(n_r)$ : if  $\prod_{i=1}^{r-1} (2n_i - \Delta(n_i)) \ge$  $2n/n_r - \Delta(n_1)\cdots\Delta(n_{r-1})$ , then r

$$\prod_{i=1}^{\prod (2n_i - \Delta(n_i))} \geq (2n/n_r - \Delta(n_1) \cdots \Delta(n_{r-1})) (2n_r - \Delta(n_r))$$

$$\geq 2n - \Delta(n_1) \cdots \Delta(n_r) +$$

$$2[1 - \Delta(n_r)/n_r] [n - \Delta(n_1) \cdots \Delta(n_{r-1})n_r];$$

and because  $l \ge \Delta(n_r)/n_r$  and  $n \ge \Delta(n_1) \cdots \Delta(n_{r-1})n_r$ , the conclusion follows: the multidimensional approach is inferior to Algorithm 3.9.

# 4C. Dihedral Group Algebras

The dihedral group  $D_r$  of order 2r is generated by {s, t} with relations  $s^r = t^2 = stst = e$ , the identity of  $D_r$ . We wish to compute products  $\alpha\beta = (a_0e + a_1s + \dots + a_{r-1}s^{r-1} + a_rt + a_{r+1}st + \dots + a_{2r-1}s^{r-1}t) \cdot (b_0e + b_1s + \dots + b_{r-1}s^{r-1} + b_rt + b_{r+1}st + \dots + b_{2r-1}s^{r-1}t) = \gamma = (c_0e + c_1s + \dots + c_{r-1}s^{r-1} + c_rt + c_{r+1}st + \dots + c_{2r-1}s^{r-1}t)$  in  $F[D_r]$ . One may verify that

$$\begin{split} \sum_{i=0}^{r-1} c_{i} z^{i} &= (\sum_{i=0}^{r-1} a_{i} z^{i}) (b_{0} + b_{1} z + \dots + b_{r-2} z^{r-2} + b_{r-1} z^{r-1}) + \\ &\quad (\sum_{i=0}^{r-1} a_{r+i} z^{i}) (b_{r} + b_{2r-1} z + \dots + b_{r+2} z^{r-2} + b_{r+1} z^{r-1}) \mod (z^{r}-1), \\ \sum_{i=0}^{r-1} c_{r+i} z^{i} &= (\sum_{i=0}^{r-1} a_{i} z^{i}) (b_{r} + b_{r+1} z + \dots + b_{2r-2} z^{r-2} + b_{2r-1} z^{r-1}) + \\ &\quad (\sum_{i=0}^{r-1} a_{r+i} z^{i}) (b_{0} + b_{r-1} z + \dots + b_{2} z^{r-2} + b_{1} z^{r-1}) \mod (z^{r}-1). \end{split}$$

Exploiting the inequivalent, irreducible matrix representations of  $\mathbb{C}[D_r]$  ([C3, p. 339]), Willsky discovered an efficient procedure for computing products in  $\mathbb{C}[D_r]$ . The algebra  $\mathbb{C}[D_r]$  has two 1×1 matrix representations if r is odd, four if r is even; and  $\lfloor \frac{1}{2}(r-1) \rfloor$  2×2 representations:

and for  $k = 1, 2, ..., \lfloor \frac{1}{2}(r-1) \rfloor$ ,

$U_{k}(s) = \begin{bmatrix} \omega \\ 0 \end{bmatrix}$	ω <b>κ</b>	0		•	0	1]	]
	0	ω <sup>-k</sup> ′	$k^{(t)} =$	1	0	ľ	

where  $\omega$  is a primitive rth root of unity. As in Section 3C, to compute  $\alpha\beta$  one multiplies corresponding representations of  $\alpha$  and  $\beta$ --a total of  $2 + 7 \cdot \frac{r-1}{2}$  nonscalar multiplications if r is odd,  $4 + 7 \cdot \frac{r-2}{2}$  nonscalar multiplications if r is even (if one multiplies a pair of 2×2 matrices with 7 nonscalar multiplications). Willsky noted that the  $U_k(\alpha)$  and  $U_k(\beta)$  can be calculated with fast Fourier transforms:

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$$U_{\mathbf{k}}(\alpha) = \begin{bmatrix} \sum_{i=0}^{r-1} a_{i} \omega^{ik} & \sum_{i=0}^{r-1} a_{r+i} \omega^{ik} \\ \sum_{i=0}^{r-1} a_{r+i} \omega^{i(r-k)} & \sum_{i=0}^{r-1} a_{i} \omega^{i(r-k)} \end{bmatrix}$$

for  $k = 1, ..., \lfloor \frac{r-1}{2} \rfloor$ .

The field R of real numbers is a splitting field for D. The representations  $U_k$  above are equivalent to

$$\widetilde{U}_{k}(s) = \begin{bmatrix} Re(\omega^{k}) & -[Im(\omega^{k})]^{2} \\ 1 & Re(\omega^{k}) \end{bmatrix}, \quad \widetilde{U}_{k}(t) = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$
(4.2)

for  $k = 1, \dots, \lfloor \frac{r-1}{2} \rfloor$  because, letting

 $\mathbf{S}_{\mathbf{k}} = \begin{bmatrix} 1 & \sqrt{-1} Im(\boldsymbol{\omega}^{\mathbf{k}}) \\ \\ 1 & -\sqrt{-1} Im(\boldsymbol{\omega}^{\mathbf{k}}) \end{bmatrix},$ 

we have  $U_k(s)S_k = S_k \tilde{U}_k(s)$  and  $U_k(t)S_k = S_k \tilde{U}_k(t)$  for  $k = 1, \dots, \lfloor \frac{r-1}{2} \rfloor$ . Thus, the multiplicative complexity of  $\mathbb{R}[D_r]$  equals that of  $\mathbb{C}[D_r]$  for every dihedral group  $D_r$ .

From (4.2) it is apparent that the field Q of rational numbers is a splitting field for  $D_3$ ,  $D_4$ , and  $D_6$ : the division algebras that constitute the simple subalgebras of  $Q[D_3]$ ,  $Q[D_4]$ , and  $Q[D_6]$  coincide with Q. The projections of an element of  $Q[D_3]$  (or  $Q[D_4]$  or  $Q[D_6]$ ) into these simple subalgebras are its matrix representations over Q. Consider the computation of the product of  $\alpha = a_0 e + a_1 s + a_2 s^2 + a_3 t + a_4 s t + a_5 s^2 t$ and  $\beta = b_0 e + b_1 s + b_2 s^2 + b_3 t + b_4 s t + b_5 s^2 t$ , yielding  $\gamma = c_0 e + c_1 s + c_2 s^2 + c_3 t + c_4 s t + c_5 s^2 t$  in  $Q[D_3]$ . We multiply corresponding matrix representations of  $\alpha$  and  $\beta$  to obtain the representations of  $\gamma$ :

$$T_{0}(\gamma) = T_{0}(\alpha)T_{0}(\beta) = (a_{0}+a_{1}+a_{2}+a_{3}+a_{4}+a_{5})(b_{0}+b_{1}+b_{2}+b_{3}+b_{4}+b_{5}),$$

$$T_{1}(\gamma) = T_{1}(\alpha)T_{1}(\beta) = (a_{0}+a_{1}+a_{2}-a_{3}-a_{4}-a_{5})(b_{0}+b_{1}+b_{2}-b_{3}-b_{4}-b_{5}),$$

$$\overline{U}(\gamma) = \overline{U}(\alpha)\overline{U}(\beta) = \begin{bmatrix} a_{0}-a_{1}+a_{3}-a_{5} & a_{1}-a_{2}-a_{4}+a_{5} \\ -a_{1}+a_{2}+a_{3}-a_{4} & a_{0}-a_{2}-a_{3}+a_{5} \end{bmatrix} \begin{bmatrix} b_{0}-b_{1}+b_{3}-b_{5} & b_{1}-b_{2}-b_{4}+b_{5} \\ -b_{1}+b_{2}+b_{3}-b_{4} & b_{0}-b_{2}-b_{3}+b_{5} \end{bmatrix};$$

the representation  $\overline{U}$  is equivalent to the representation  $\widetilde{U}_1$  for  $\mathfrak{Q}[D_3]$  in (4.2).

The field Q is not a splitting field for every  $D_r$ , however. For instance, no 2×2 matrix with entries in Q is equivalent to the representation  $\tilde{U}_1(s)$  of s in  $D_5$  because the characteristic polynomial of  $\tilde{U}_1(s)$ in (4.2) is  $\lambda^2 + \frac{1}{2}(\sqrt{5} - 1)\lambda + 1$ .

Nevertheless, we construct an efficient algorithm for computing products in  $\mathbb{Q}[D_5]$ . The characteristic polynomial of the regular representation of the general element  $\xi = x_0 e + x_1 s + \ldots + x_4 s^4 + x_5 t + x_6 s t + \ldots + x_9 s^4 t$  of  $\mathbb{Q}[D_5]$  is  $\chi(\lambda) = \chi_1(\lambda)\chi_2(\lambda)\chi_3(\lambda)^2$ , where

$$\chi_{1}(\lambda) = \lambda - (x_{0} + x_{1} + x_{2} + x_{3} + x_{4} + x_{5} + x_{6} + x_{7} + x_{8} + x_{9}),$$

$$\chi_{2}(\lambda) = \lambda - (x_{0} + x_{1} + x_{2} + x_{3} + x_{4} - x_{5} - x_{6} - x_{7} - x_{8} - x_{9}),$$

$$\chi_{3}(\lambda) = \Theta_{0}^{2} - (\Theta_{1} + \Theta_{2})\Theta_{0} - \Theta_{1}^{2} - \Theta_{2}^{2} + 3\Theta_{1}\Theta_{2},$$

$$\Theta_{0} = (x_{0} - \lambda)^{2} + x_{1}^{2} + x_{2}^{2} + x_{3}^{2} + x_{4}^{2} - x_{5}^{2} - x_{6}^{2} - x_{7}^{2} - x_{8}^{2} - x_{9}^{2}$$

$$\Theta_{1} = (x_{0} - \lambda)x_{1} + x_{1}x_{2} + x_{2}x_{3} + x_{3}x_{4} + x_{4}(x_{0} - \lambda)$$

$$-x_{5}x_{6} - x_{6}x_{7} - x_{7}x_{8} - x_{8}x_{9} - x_{9}x_{5},$$

$$\Theta_{2} = (x_{0} - \lambda)x_{2} + x_{2}x_{4} + x_{4}x_{1} + x_{1}x_{3} + x_{3}(x_{0} - \lambda)$$

$$-x_{5}x_{7} - x_{7}x_{9} - x_{9}x_{6} - x_{6}x_{8} - x_{8}x_{5}.$$

Because  $\chi_3(\lambda)$  factors into  $(\Theta_0 + \frac{1}{2}(\sqrt{5} - 1)\Theta_1 - \frac{1}{2}(\sqrt{5} + 1)\Theta_2)$ .

 $\begin{pmatrix} \Theta_0 & -\frac{1}{2}(\sqrt{5}+1)\Theta_1 + \frac{1}{2}(\sqrt{5}-1)\Theta_2 \end{pmatrix} \text{ in } \mathbb{R}[\lambda, x_0, \dots, x_g], \text{ which is a unique factorization domain, } \chi_3(\lambda) \text{ is irreducible in } \mathbb{Q}[\lambda, x_0, \dots, x_g]. \text{ Thus, by Theorem 3.8, } \mathbb{Q}[D_5] \text{ has three irreducible, inequivalent matrix representations. Let } \mathbb{Q}[D_5] \stackrel{\simeq}{=} A_1 \oplus A_2 \oplus A_3, \text{ where } A_1 \text{ corresponds to } \chi_1 \text{ for } i = 1,2,3. \text{ Then } A_1 \stackrel{\simeq}{=} A_2 \stackrel{\simeq}{=} \mathbb{Q}; \text{ the simple algebras } A_1 \text{ and } A_2 \text{ are rings of } 1\times 1 \text{ matrices over } \mathbb{Q}. \text{ Let } A_3 \text{ be a full } \mu_3 \times \mu_3 \text{ matrix ring over some division algebra } \mathcal{P}_3. \text{ According to the discussion at the end of Section 3B, } [A_3:\mathbb{Q}] = \mu_3^2[\mathcal{P}_3:\mathbb{Q}] = \deg(\chi_3(\lambda)^2) = 8; \text{ moreover, since } \chi_3 \text{ has multiplicity } 2, \mu_3 \mid 2. \text{ From } (4.2) \text{ we deduce that } \mu_3 = 2 \text{ and } \mathcal{P}_3 = \mathbb{Q}(\sqrt{5}) \stackrel{\simeq}{=} \mathbb{Q}[z]/(z^2-5). \text{ The projection of any element } \alpha = a_0 e + a_1 s + \dots + a_4 s^4 + a_5 t + a_6 st + \dots + a_9 s^4 t \text{ into } A_3 \text{ is defined by }$ 

$$\mathbf{s} \mapsto \begin{bmatrix} \frac{1}{4}(\sqrt{5} - 1) & -\frac{1}{8}(\sqrt{5} + 5) \\ 1 & \frac{1}{4}(\sqrt{5} - 1) \end{bmatrix}, \quad \mathbf{t} \mapsto \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

To compute the product  $\alpha\beta$  in  $\mathbb{Q}[D_5]$  with Algorithm 3.9 we use the projections of  $\alpha$  and  $\beta$  in the simple subalgebras  $A_1$ ,  $A_2$ , and  $A_3$ . Multiplying projections of  $\alpha$  and  $\beta$  in  $A_1$  and  $A_2$  requires 1 nonscalar multiplication each. Multiplying the projections of  $\alpha$  and  $\beta$  in  $A_3$  requires at most 7.3 nonscalar multiplications; the factor 7 arises from 2×2 matrix multiplication, the factor 3 from multiplying elements of  $\mathcal{D}_3 \cong \mathbb{Q}[z]/(z^2 - 5)$ . The multiplicative complexity of  $\mathbb{Q}[D_5]$  is at most 1 + 1 + 7.3 = 23.

## 4D. Generalized Quaternion Group Algebras

Related to the dihedral group  $D_{2r}$ , the generalized quaternion group  $H_r$  of order 4r is generated by {s, t} with relations  $s^{2r} = t^2 s^r = stst^{-1} = e$ , the identity of  $H_r$ . If  $r \ge 2$ , then  $H_r$  is noncommutative.

The algebra  $\mathbb{C}[H_r]$  has four irreducible, inequivalent representations of dimension 1 and r-1 of dimension 2 [C3, p. 339]:

(s) = 1,	$T_{1}(t) = 1;$	
$f_{2}(s) = 1,$	$T_2(t) = -1;$	(4
<sup>r</sup> <sub>3</sub> (s) = -1,	$T_{3}(t) = 1;$	(1
$f_4(s) = -1,$	$T_4(t) = -1;$	

.3)

and for k = 1, ..., r-1,

$$U_{k}(s) = \begin{bmatrix} \omega^{k} & 0 \\ 0 & \omega^{-k} \end{bmatrix}, \qquad U_{k}(t) = \begin{bmatrix} 0 & \omega^{-kr} \\ 1 & 0 \end{bmatrix}, \qquad (4.4)$$

where  $\omega$  is a primitive  $2\mathbf{r}$  th root of unity. According to Section 3C, one can exploit these representations to compute products in  $\mathbb{C}[H_r]$  with 4 + 7(r-1) nonscalar multiplications: if  $\alpha$  and  $\beta$  are in  $\mathbb{C}[H_r]$ , then each product  $T_k(\alpha)T_k(\beta)$  requires 1 nonscalar multiplication and each 2×2 matrix product  $U_k(\alpha)U_k(\beta)$  for  $k = 1, \ldots, r-1$  requires 7.

Computing products in  $\mathbb{R}[H_r]$  and  $\mathbb{Q}[H_r]$  apparently requires more nonscalar multiplications than computations in  $\mathbb{C}[H_r]$ . The algebra  $\mathbb{R}[H_2]$  decomposes into  $\mathbb{R} \oplus \mathbb{R} \oplus \mathbb{R} \oplus \mathbb{R} \oplus \mathbb{Q}_{\mathbb{R}}$ , a direct sum of simple algebras, each of which is a 1×1 matrix ring over a division algebra. The projections into the first four summands are the one-dimensional representations (4.3) of  $\mathbb{R}[H_2]$ . A projection of  $\alpha = a_0 + a_1s + a_2s^2 + a_3s^3 + a_4t + a_5st + a_6s^2t + a_7s^3t$  into the last summand, the real quaternions  $Q_{\mathbb{R}}$ , is

$$\alpha \mapsto (a_0 - a_2) + (a_1 - a_3)\hat{i} + (a_4 - a_6)\hat{j} + (a_5 - a_7)\hat{k}.$$
 (4.5)

Dobkin [D1] proved that one can multiply quaternions over any field whose characteristic is not 2 with 8 nonscalar multiplications. Products in  $\mathbb{R}[\mathbb{H}_2]$  can be computed with 1 + 1 + 1 + 1 + 3 = 12 nonscalar multiplications. This analysis also applies to  $\mathbb{Q}[\mathbb{H}_2] \cong \mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q}_2$ : projections into the first four summands are defined by (4.3), and a projection into the last summand, the rational quaternions  $\mathbb{Q}_{\mathbb{Q}}$ , is given by (4.5). One can calculate products in  $\mathbb{Q}[\mathbb{H}_2]$  with 12 nonscalar multiplications.

The optimality of these procedures for  $\mathbb{R}[H_2]$  and  $\mathbb{Q}[H_2]$  seems to hinge on the "Direct Sum Conjecture" (see Section 3C) and the assessment of the multiplicative complexity of the quaternions; both problems have been studied extensively by other researchers. Theorem 2.4 provides the best lower bound known for the multiplicative complexity of  $Q_{\mathbb{R}}$  in our model of computation: 7 nonscalar multiplications.

The algebra  $\mathbb{R}[H_3]$  is more complicated than  $\mathbb{R}[H_2]$ . The characteristic polynomial of the regular representation of the general element  $\xi = x_0 e + x_1 s + \ldots + x_5 s^5 + x_6 t + x_7 s t + \ldots + x_{11} s^5 t$  of  $\mathbb{R}[H_3]$  has prime power factorization  $\chi_1(\lambda)\chi_2(\lambda)\chi_3(\lambda)\chi_4(\lambda)(\chi_5(\lambda))^2(\chi_6(\lambda))^2$  in  $\mathbb{R}[\lambda, x_0, \ldots, x_{11}]$ , where

$$\begin{split} \chi_1(\lambda) &= \lambda - (x_0^+ x_1^+ x_2^+ x_3^+ x_4^+ x_5^+ x_6^+ x_7^+ x_8^+ x_9^+ x_{10}^+ x_{11}), \\ \chi_2(\lambda) &= \lambda - (x_0^+ x_1^+ x_2^+ x_3^+ x_4^+ x_5^- x_6^- x_7^- x_8^- x_9^- x_{10}^- x_{11}), \end{split}$$

$$\begin{split} \chi_{3}(\lambda) &= \lambda - (x_{0} - x_{1} + x_{2} - x_{3} + x_{4} - x_{5} + x_{6} - x_{7} + x_{8} - x_{9} + x_{10} - x_{11}), \\ \chi_{4}(\lambda) &= \lambda - (x_{0} - x_{1} + x_{2} - x_{3} + x_{4} - x_{5} - x_{6} + x_{7} - x_{8} + x_{9} - x_{10} + x_{11}), \\ \chi_{5}(\lambda) &= (x_{0} + x_{3} - x_{1} - x_{4} + x_{6} + x_{9} - x_{8} - x_{11} - \lambda) (x_{0} + x_{3} - x_{2} - x_{5} - x_{6} - x_{9} + x_{8} + x_{11} - \lambda) \\ &- (-x_{1} - x_{4} + x_{2} + x_{5} + x_{6} + x_{9} - x_{7} - x_{10}) (x_{1} + x_{4} - x_{2} - x_{5} - x_{7} - x_{10} + x_{8} + x_{11}), \\ \chi_{6}(\lambda) &= \Theta_{0}(x_{0} - \lambda, x_{1}, x_{2}, x_{3}, x_{4}, x_{5})^{2} + \frac{3}{2}\Theta_{1}(x_{1}, x_{2}, x_{4}, x_{5})^{2} \\ &+ \Theta_{0}(x_{6}, x_{7}, x_{8}, x_{9}, x_{10}, x_{11})^{2} + \frac{3}{2}\Theta_{1}(x_{7}, x_{8}, x_{10}, x_{11})^{2} \\ \Theta_{0}(z_{0}, z_{1}, z_{2}, z_{3}, z_{4}, z_{5}) &= z_{0} + \frac{1}{2}z_{1} - \frac{1}{2}z_{2} - z_{3} - \frac{1}{2}z_{4} + \frac{1}{2}z_{5}, \end{split}$$

$$\Theta_{1}(z_{1}, z_{2}, z_{4}, z_{5}) = z_{1} + z_{2} - z_{4} - z_{5}.$$
(4.6)

Let  $\mathbb{R}[H_3] \cong A_1 \oplus \ldots \oplus A_6$ , where  $\chi_i$  is the characteristic polynomial of  $\xi$  corresponding to the simple subalgebra  $A_i$  for  $i = 1, \ldots, 6$ . Then  $A_1 \cong A_2 \cong A_3 \cong A_4 \cong \mathbb{R}$ ; the projections of an element  $\alpha = a_0 e + a_1 s + \ldots + a_5 s^5 + a_6 t + a_7 s t + \ldots + a_{11} s^5 t$  of  $\mathbb{R}[H_3]$  into these four subalgebras are the one-dimensional representations (4.3). A projection of  $\alpha$  into  $A_5$  is a 2×2 matrix representation over  $\mathbb{R}$ :

$$\alpha \Rightarrow \begin{bmatrix} a_0^{+a_3} - a_1^{-a_4} + a_6^{+a_9} - a_8^{-a_{11}} & a_1^{+a_4} - a_2^{-a_5} - a_7^{-a_{10}} + a_8^{+a_{11}} \\ -a_1^{-a_4} + a_2^{+a_5} + a_6^{+a_9} - a_7^{-a_{10}} & a_0^{+a_3} - a_2^{-a_5} - a_6^{-a_9} + a_8^{+a_{11}} \end{bmatrix}.$$

$$(4.7)$$

This representation is equivalent to (4.4) in which k = 2 and  $\omega$  is a primitive sixth root of unity. Since  $A_5$  is the ring of 2×2 matrices over  $\mathbb{R}$ , products in  $A_5$  require exactly 7 nonscalar multiplications. Finally,  $A_6 = Q_{\mathbf{R}}$ , the real quaternions. A projection of  $\alpha$  into  $A_6$  is

$$\begin{array}{l} \alpha \mapsto \Theta_{0}(a_{0},a_{1},a_{2},a_{3},a_{4},a_{5}) + \Theta_{1}(a_{1},a_{2},a_{4},a_{5}) \frac{\sqrt{3}}{2} \ \\ + \Theta_{0}(a_{6},a_{7},a_{8},a_{9},a_{10},a_{11}) \ \hat{j} + \Theta_{1}(a_{7},a_{8},a_{10},a_{11}) \frac{\sqrt{3}}{2} \ \hat{k}, \end{array}$$

$$(4.8)$$

where  $\Theta_0$  and  $\Theta_1$  are defined in (4.6). One can compute a product of real quaternions (in  $\frac{A}{6}$ ) with 8 nonscalar multiplications. Therefore, using Algorithm 3.9, we can compute products of elements of  $\mathbb{R}[H_3]$  with 1 + 1 + 1 + 1 + 7 + 8 = 19 nonscalar multiplications.

The structure of  $\mathbb{Q}[\mathbb{H}_3]$  resembles that of  $\mathbb{R}[\mathbb{H}_3]$ :  $\mathbb{Q}[\mathbb{H}_3] \cong A_1' \oplus A_2' \oplus A_3' \oplus A_4' \oplus A_5' \oplus A_6'$ , where  $A_1' \cong A_2' \cong A_3' \cong A_4' \cong \mathbb{Q}$ , and  $A_5'$  is a ring of 2x2 matrices over  $\mathbb{Q}$ ; projections of  $\alpha = a_0 \oplus a_1 \oplus a_1 \oplus \cdots \oplus a_5 \oplus a_6 \oplus a_6 \oplus a_7 \oplus a_1 \oplus \cdots \oplus a_5 \oplus a_6 \oplus a_6 \oplus a_1 \oplus a_1 \oplus a_5 \oplus a_6 \oplus a_7 \oplus a_6 \oplus a_1 \oplus a_1 \oplus a_5 \oplus a_6 \oplus a_7 \oplus a_6 \oplus a_1 \oplus a_1 \oplus a_5 \oplus a_6 \oplus a_7 \oplus a_6 \oplus a_1 \oplus a_1 \oplus a_5 \oplus a_6 \oplus a_7 \oplus a_1 \oplus a_1 \oplus a_5 \oplus a_6 \oplus a_7 \oplus a_1 \oplus a_1 \oplus a_5 \oplus a_6 \oplus a_1 \oplus a_1 \oplus a_1 \oplus a_1 \oplus a_6 \oplus a_7 \oplus a_1 \oplus a$ 

then  $y_0 = m_1 + m_3 + m_5 + m_7$ ,  $y_1 = m_1 + m_4 + m_6 + m_8$ ,  $y_2 = m_2 + m_3 + m_1 + m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_2 = m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_2 = m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_2 = m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_2 = m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_2 = m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_2 = m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_3 = m_2 + m_3 + m_3 + m_4 + m_6 + m_8$ ,  $y_3 = m_2 + m_3 + m_8 + m_8$ ,  $y_3 = m_2 + m_3 + m_8 + m_8$ ,  $y_3 = m_2 + m_3 + m_8 + m_8 + m_8$ ,  $y_3 = m_2 + m_3 + m_8 + m_8 + m_8 + m_8 + m_8 + m_8$ ,  $y_3 = m_2 + m_8 + m_8$ 

 $m_6 + m_9$ , and  $y_3 = m_2 + m_4 + m_5 + m_{10}$ . The multiplicative complexity of  $Q[H_3]$  is at most 1 + 1 + 1 + 1 + 7 + 10 = 21.

It is not known whether these algorithms for generalized quaternion group algebras are optimal. Even if Algorithm 3.9 were optimal for semisimple algebras in general, it might be nonoptimal when applied to a specific algebra such as  $\mathbb{R}[H_3]$ .

#### 5. FURTHER PROBLEMS

In addition to the important conjecture formulated at the end of Section 3C we propose three subjects for further investigation.

 <u>Non-semisimple algebras</u>. Examples include quaternions over fields of characteristic 2 and abelian group algebras F[G] in which char F | card G.

Consider  $F[Z_2]$ , where  $Z_2$  is the cyclic group of order 2. If char  $F \neq 2$ , then  $1 \neq -1$ , and computing products in

$$F[Z_{2}] \cong F[z]/(z^{2} - 1) \cong F[z]/(z - 1) \oplus F[z]/(z + 1)$$

requires exactly 2 nonscalar multiplications. If char F = 2, then 1 = -1, and by Theorems 2.6 and 2.10, computing products in

$$F[z]/(z^2 - 1) \stackrel{\sim}{=} F[z]/(z + 1)^2$$

requires exactly 3 nonscalar multiplications.

The technique of Section 3C cannot be used for non-semisimple algebras: unlike semisimple algebras, a non-semisimple algebra cannot be expressed as a direct sum of simple algebras. Equivalently, some reducible representations of a non-semisimple algebra might not be completely reducible. Changing the basis of the algebra might not completely blockdiagonalize the regular matrix representation.

For example, if char  $F \neq 2$ , one can compute products in  $F[Z_2 \times Z_2]$  with 4 nonscalar multiplications:

$$\begin{split} F[Z_2 \times Z_2] &\cong F[y,z]/((y^2 - 1), (z^2 - 1)) \cong \\ & F[y,z]/((y - 1), (z - 1)) \oplus F[y,z]/((y - 1), (z + 1)) \oplus \\ & F[y,z]/((y + 1), (z - 1)) \oplus F[y,z]/((y + 1), (z + 1)). \end{split}$$

The group algebra  $\mathbb{F}_4[\mathbb{Z}_2 \times \mathbb{Z}_2]$  seems to require more nonscalar multiplications. Let T be a primitive element of  $\mathbb{F}_4$  and let s and t generate  $\mathbb{Z}_2 \times \mathbb{Z}_2$ :  $\mathbb{F}_4[\mathbb{Z}_2 \times \mathbb{Z}_2] = \{a_0e + a_1s + a_2t + a_3st | s^2 = t^2 = e, st = ts, every <math>a_i \in \{0, \tau^0, \tau^1, \tau^2\}\}$ . Write  $(a_0e + a_1s + a_2t + a_3st)(b_0e + b_1s + b_2t + b_3st) = (c_0e + c_1s + c_2t + c_3st)$  as a matrix-vector product in which the matrix is the left regular matrix representation of  $a_0e + a_1s + a_2t + a_3st$  with respect to the basis  $\{e, s, t, st\}$ :

$$\begin{bmatrix} c_{0} \\ c_{1} \\ c_{2} \\ c_{3} \end{bmatrix} = \begin{bmatrix} a_{0} & a_{1} & a_{2} & a_{3} \\ a_{1} & a_{0} & a_{3} & a_{2} \\ a_{2} & a_{3} & a_{0} & a_{1} \\ a_{3} & a_{2} & a_{1} & a_{0} \end{bmatrix} \begin{bmatrix} b_{0} \\ b_{1} \\ b_{2} \\ b_{3} \end{bmatrix},$$

$$\begin{bmatrix} c_{0}+c_{1}+c_{2}+c_{3} \\ c_{1}+c_{0} \\ c_{2}+c_{0} \\ c_{3}+c_{0} \end{bmatrix} = \begin{bmatrix} a_{0}+a_{1}+a_{2}+a_{3} & 0 & 0 & 0 \\ 0 & a_{0}+a_{1} & a_{3}+a_{2} & a_{2}+a_{3} \\ 0 & a_{3}+a_{1} & a_{0}+a_{2} & a_{1}+a_{3} \\ 0 & a_{2}+a_{1} & a_{1}+a_{2} & a_{0}+a_{3} \end{bmatrix} \begin{bmatrix} b_{0}+b_{1}+b_{2}+b_{3} \\ b_{1}+b_{0} \\ b_{2}+b_{0} \\ b_{2}+b_{0} \\ b_{3}+b_{0} \end{bmatrix}.$$

The representation

$$a_0^{+a_1} a_3^{+a_2} a_2^{+a_3} a_3^{+a_1} a_0^{+a_2} a_1^{+a_3} a_2^{+a_3} a_1^{+a_2} a_0^{+a_3}$$

is reducible, but not completely reducible. Since the subspace spanned by  $[\tau^0 \ \tau^1 \ \tau^2]^t$  is fixed by this matrix, a change of basis yields

$$\begin{bmatrix} a_0 + a_1 + (a_2 + a_1) \tau^1 & a_3 + a_2 + (a_1 + a_2) \tau^1 & 0 \\ a_3 + a_1 + (a_2 + a_1) \tau^2 & a_0 + a_2 + (a_1 + a_2) \tau^2 & 0 \\ (a_2 + a_1) \tau^1 & (a_1 + a_2) \tau^1 & a_0 + a_1 + a_2 + a_3 \end{bmatrix}$$

How quickly can products in non-semisimple algebras be computed? In Section 2B we discussed quotient polynomial algebras that may be non-semisimple. If  $\pi(z) \in F[z]$  and  $\pi(z)$  has prime factorization  $\pi_1(z)^{\nu_1} \cdots \pi_k(z)^{\nu_k}$ , then

$$F[z]/(\pi(z)) \stackrel{\sim}{=} F[z]/(\pi_1(z)^{\vee 1}) \oplus \ldots \oplus F[z]/(\pi_k(z)^{\vee k}).$$

This decomposition leads to Algorithm 2.5. Can the methods of Secion 2B be extended to other non-semisimple algebras?

2. <u>Small fields</u>. Algorithm 2.5 and Theorem 3.8 both require sufficiently large fields. Can these noisome cardinality constraints be removed? A fast method for multiplying elements of  $\mathbb{F}_2[z]/(\pi(z))$  in which  $\pi$  is irreducible would be of interest to coding theorists [B1] [L2].

3. <u>Calculating the transform</u>. We have emphasized the minimization of nonscalar multiplications at the expense of additions and scalar multiplications. If we instead seek the shortest program, we should minimize the <u>total</u> number of arithmetic operations for calculating the transform (projections) of Algorithm 3.9 and its inverse. The algorithms of Moenck and Borodin [M1] [B2], which generalize the fast Fourier transform, apply to quotient polynomial algebras. We can characterize the Moenck-Borodin technique abstractly: for instance, to calculate the projections of an element  $\alpha$  in the simple algebras  $A_1$ ,  $A_2$ ,  $A_3$ , and  $A_4$  of  $A \cong A_1 \oplus A_2 \oplus A_3 \oplus A_4$ , first calculate the projections  $\alpha_{12}$  in  $A_1 \oplus A_2$ and  $\alpha_{34}$  in  $A_3 \oplus A_4$ ; then calculate the projections of  $\alpha_{12}$  in  $A_1$  and  $A_2$ and the projections of  $\alpha_{34}$  in  $A_3$  and  $A_4$ . Can this abstract characterization be developed sufficiently to permit formulation of fast procedures for calculating the transform (projections) and inverse described in Section 3C? Nicholson [N1] discussed theoretical underpinnings of the transform for abelian group rings. Can we obtain lower bounds on the complexity of calculating the transform?
## 6. SUMMARY AND CONCLUSIONS

In this thesis we examined computations of products in finitedimensional, associative algebras, especially semisimple algebras.

We established the correctness of Theorem 2.10: the multiplicative complexity of the quotient polynomial algebra  $F[z]/(\pi(z))$  is at lest 2n-k, where n = deg  $\pi$  and k is the number of (nontrivial) distinct irreducible factors of  $\pi$ .

Having defined the general element  $\xi$  of a semisimple algebra A and the characteristic polynomial  $\chi(\lambda)$  of the regular representation of  $\xi$ , we proved that the irreducible factors of  $\chi$  correspond to the irreducible, inequivalent representations of A: when the base field of A is sufficiently large, the polynomial  $\chi$  has m distinct irreducible factors if, and only if, A is the direct sum of m simple algebras; for each of the irreducible representations  $T_i$  the characteristic polynomial of  $T_i(\xi)$ is the power of some irreducible factor of  $\chi$ . The characteristic polynomial of the regular representation of the general element is invariant under change of basis, which yields a computationally equivalent problem.

We devised a procedure for multiplying elements of a semisimple algebra A with a generalization of the discrete Fourier transform. Let  $A \cong A_1 \oplus \ldots \oplus A_m$ , where each simple algebra  $A_i$  is a full  $\mu_i \times \mu_i$  matrix ring over a division algebra  $\mathcal{D}_i$ . In essence, one multiplies the projections of the multiplicands in the simple subalgebras  $A_1, \ldots, A_m$ . This method uses at most  $\sum_{i=1}^{m} \mu_i^{3} [\mathcal{D}_i:F]^2$  nonscalar multiplications, though this number is smaller in many cases.

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To elucidate these ideas, we demonstrated that known algorithms for computing products in quotient polynomial algebras and certain abelian group algebras are instances of our general technique. We presented a new explicit calculation of the characteristic polynomial of the regular representation of a general element of an abelian group algebra in which the base field contains a primitive root of unity. Finally, we constructed algorithms for products in algebras of dihedral groups and generalized quaternion groups.

We have focused on minimizing the number of nonscalar multiplications for computing  $\{c_1, \ldots, c_n\}$  from  $\{a_1, \ldots, a_n, b_1, \ldots, b_n\}$ , where  $\sum_{i=1}^{n} c_{i}v_{i} = \left(\sum_{i=1}^{n} a_{i}v_{i}\right)\left(\sum_{i=1}^{n} b_{i}v_{i}\right) \text{ in an algebra with basis } \{v_{1}, \ldots,$  $v_n$ }; consequently, we have drawn many of our ideas and techniques from linear algebra. Theorem 2.1 guarantees that since  $\{c_1, \ldots, c_n\}$  are bilinear forms, one may assume that each nonscalar multiplications step is the product of linear combinations of  $\{a_1, \ldots, a_n, b_1, \ldots, b_n\}$ ; the  $\{{\bf c}_1,\ \ldots,\ {\bf c}_n\}$  are linear combinations of the results of these steps. The proofs of Lemma 2.9 and Theorem 2.10 appeal to linear independence of vectors. The transform defined in Section 3C is essentially a change of basis: calculating projections in simple subalgebras involves linear combinations of the indeterminates. Morover, methods based on linear algebra have established only linear lower bounds on nonscalar multiplicative complexity (e.g., [F2], [W3]). Have we exhausted the insights available from applications of linear algebra? To obtain novel results-efficient algorithms, stronger (e.g., nonlinear) lower bounds--it seems

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that we must use more sophisticated mathematical methods such as concepts from algebraic geometry [S2].

## NOTATION

C	field of complex numbers
Fr	finite field with r elements
Q	field of rational numbers
R	field of real numbers
$\mathcal{Q}_{\mathbf{F}}$ .	algebra of quaternions over a field F
D <sub>r</sub>	dihedral group of order 2r
H r	generalized quaternion group of order 4r
I <sub>n</sub>	n×n identity matrix
Z <sub>n</sub>	cyclic group of order n
∆ (m)	number of positive integral divisors of an integer m
&(θ <sub>k</sub> )	evaluation of a statement in a straight-line program
$\theta$ (f(n))	a function bounded by cf(n) for some constant c
ρ <sub>L</sub> (α)	left regular matrix representation of $\boldsymbol{\alpha}$
card Y	cardinality of an object y
char F	characteristic of a field F
deg ψ	degree of a polynomial $\psi$
det A	determinant of a matrix A
End <sub>R</sub> (X)	ring of endomorphisms of an R-module X
Im (u)	imaginary part of a complex number u
Re (u)	real part of a complex number u
$\phi_1 \equiv \phi_2 \pmod{\psi}$ the polynomials $\phi_1$ and $\phi_2$ are congruent modulo $\psi$	
$\phi_1 = \phi_2 \mod \psi$	the polynomial $\phi_1$ is the residue (remainder) when $\phi_2$ is divided by $\psi$

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[A:F]	dimension of an algebra A over a field F
F[G]	algebra of a group G over a field F
$F[z]/(\pi(z))$	ring of polynomials in $F[z]$ modulo $\pi(z)$
$F[x_1,\ldots,x_n]$	ring of polynomials in indeterminates $x_1, \ldots, x_n$
$\mathbf{F}^{n \times n}$	ring of n×n matrices with entries in F (homomorphic to
	End <sub>F</sub> (F <sup>n</sup> ))
(TT (z))	ideal generated by $\pi(z)$
<h>&gt;</h>	cyclic group generated by h
LuJ	largest integer not greater than u
[u]	smallest integer not less than u
m n (m/n)	m does (does not) divide n
$\mathbf{A}^{t}$	transpose of a matrix A
~ =	isomorphism of objects
Ð	direct sum

end of proof or algorithm

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